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January 7, 1997

(Whereupon, the following  
occurred in the  
presence of the jury:)

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THE COURT: Be seated, please. Counsel.

MR. SMART: Thank you, Your Honor.

May it please the Court, ladies and gentlemen of  
the jury, my name is Will Smart. We met before. I  
represent Skagit County, along with my partner Dave  
Major. Harvey Wolden Skagit, County Commissioner, is  
here to represent the county.

I know that you are all anticipating a lengthy  
trial. Mr. Hagens has told you how long it might be.  
We also appreciate your kind attention to our problem  
here and we'll be as efficient as we can in putting it  
on.

It is likely to be a long case, and the reason  
for that is that you have one of the rare opportunities  
of learning about 100 years of history in Skagit County  
in this case, and this case focuses largely on 100 years  
of diking history in Skagit County. It's not a subject  
that everybody is going to learn about, but you will  
learn it in detail.

This matter is something that is of extreme  
importance to every entity in this courtroom, and

1           although Mr. Hagens has made a substantial introduction  
2           of his clients, the plaintiffs, and has informed you of  
3           how important they are, I would like you to consider how  
4           important this matter is to the general public, to  
5           Skagit County, to the diking districts, and also to the  
6           State of Washington.

7                        As the Court is going to instruct you at the end  
8           of the case, each party is equal before the law and each  
9           party is entitled to your undivided attention, so I ask  
10          you at the outset of this case to pay close attention  
11          and to try not to make your minds up prior to the time  
12          all the evidence is in.

13                       Our case will be some weeks from now before we  
14          are able to put it on. The purpose of my statement here  
15          is to give you a bit of a road map to what the evidence  
16          is that you will hear in the county's case, so when the  
17          plaintiffs are putting on their case, I'd like you to  
18          harken back to today so that you will be able to reflect  
19          on the evidence that's presented by them and anticipate  
20          what the other side of the story might be. Because,  
21          like many things in life, this case presents more than  
22          the story that you heard from Mr. Hagens.

23                       Now, what I'd like to start off with is a little  
24          bit about the geology of the Skagit River. As many of  
25          you know, the Skagit River is the largest river in

1 Western Washington, arising in the North Cascades. In  
2 fact, it originates in British Columbia and flows down  
3 through areas of Whatcom County prior to the time it  
4 gets to Skagit County, and along the way it drains a  
5 huge territory encompassing the North Cascades and the  
6 Skagit River Valley and basically it flows, after it  
7 goes into Ross Lake where it checked by a damn, and  
8 Diablo Dam, which many of you are familiar with those  
9 dams, proceeds down the valley until it gets to this  
10 point here, which is approximately 40 miles from the  
11 mouth, and, as you know from our earlier map, we have a  
12 river delta.

13 River delta mechanics, you will learn in this  
14 case, are not unique to the Skagit River. They have  
15 common features with, for instance, the Nile, the  
16 Mississippi, all of the major rivers in the country,  
17 because what happens in times of high rainfall is that  
18 the mountains, the ground, erodes and the waters are  
19 laden with silt and sediment that are borne downstream  
20 and create this fan-like delta at the mouth of the  
21 river.

22 All of this territory comprising the Skagit Delta  
23 has been historically flood plain and, over geologic  
24 time, has flooded many many times, countless times. The  
25 reason why the soil in the Skagit Valley is so fertile

1 is because of these sediments that have been washed down  
2 by the operation of erosion and deposited in this fan  
3 that you see here.

4 Like many of the other major river deltas in the  
5 country, the Skagit is extremely fertile crop land, but  
6 it only became farmable after the early settlers diked  
7 out the tide from the salt water and made it tillable by  
8 turning it from the original muddy bottom of a swamp  
9 into bearable farm land by the means of dikes. Similar  
10 to dikes in Holland, similar to dikes everywhere, these  
11 dikes are essentially -- are extremely important to the  
12 existence of the rest of this portion of Skagit County.

13 The entire valley is diked in one fashion or  
14 another, and that includes the Nookachamps Basin where  
15 the plaintiffs reside. Diking District No. 20 is  
16 located on the south bank of the Skagit River in this  
17 area but, unlike the remainder of the banks of the  
18 river, Diking District 20 has chosen not to build a dike  
19 over time.

20 I'll get to the mechanics of dike district  
21 formation in a second. However, what I'd like you to  
22 recognize at this point is that these -- this natural  
23 low area comprising the Skagit River Delta did not get  
24 to its geographical or topographical conditions by the  
25 operation of man. Rather, it was the force of nature.

1                   If you look even at the map that's been placed up  
2 here by Mr. Hagens, you will see that the Nookachamps  
3 Basin is an extremely low area. It's a bowl-shaped  
4 depression, and it didn't get that way because of the  
5 operation of any governmental entity.

6                   Barney Lake exists at an elevation of 18 feet  
7 above sea level, and that's highly significant because  
8 Barney Lake is the center of this Nookachamps Basin.  
9 The banks of the Skagit River in this location after it  
10 proceeds west under the Highway 9 bridge are at  
11 approximately 35 to 39 feet. What that means is that  
12 when the river escapes its banks, you have an over-land  
13 downhill flow of water from this height, elevation of 35  
14 feet, down to an elevation of 18 feet at its lowest.  
15 You have a relatively steep gradient, and this  
16 bowl-shaped area fills with water. It has flooded in  
17 the Nookachamps Basin as long as the basin has existed,  
18 and it doesn't flood because of the operation of  
19 government. It has always flooded. It is the lowest  
20 area upstream from the Burlington Northern Bridge and it  
21 is a natural depression.

22                   Now, why is that important in this case? It's  
23 important in this case because the plaintiffs' position  
24 is that the county uses the Nookachamps Basin for flood  
25 storage. Let's discuss a little terminology. You'll be

1 hearing from a number of hydraulic engineers in this  
2 case that talk about the concept of storing flood  
3 waters. To a hydraulic engineer, flood water storage is  
4 simply any water that's not flowing downstream and being  
5 discharged from the system at that particular time, so  
6 when a flood engineer talks about storage, he's simply  
7 talking about water that hasn't yet been discharged from  
8 the system. And it's important to keep the concept of  
9 storage in mind in this case because there are a number  
10 of ways in which water is stored in the system.

11 First we have the upriver dams which were  
12 completed in approximately 1951. You will learn through  
13 the evidence in this case that those upriver dams hold  
14 and store somewhere between 30 and 40 thousand cubic  
15 feet per second of water. A cubic foot per second, I'd  
16 like to take just a minute to discuss that concept.

17 A cubic foot of water weighs 67 pounds. If you  
18 were sitting on the bank of the Skagit River and watched  
19 a flood event, you would see somewhere between 91,000  
20 and 400,000 of these cubic feet of water pass by your  
21 position every second. It's a huge amount of water, and  
22 the energy discharged by that water is amazing. The  
23 reason why floods are dangerous is because of the  
24 unleashing of that tremendous power of the water.

25 Now, the flooding in the Skagit River has taken

1 place since recorded history, and we even have, through  
2 our hydraulic engineers and historians, evidence of  
3 floods far exceeding any of the floods that have existed  
4 in the modern times back in the 1800s, 1700s. The flood  
5 in 1990 at its peak was an approximately 150 to 200,000  
6 cubic feet per second. There was evidence of a flood in  
7 1815 of 400,000, almost three times the peak flow of the  
8 flood in 1990. And we have other floods nearly twice as  
9 big in 1956. We have a series of huge floods between  
10 1896 and 1921. These floods are extremely important  
11 because, as you will learn from the history in this  
12 case, every one of these floods flooded the properties  
13 that are now occupied by the plaintiffs, and they  
14 flooded them to depths greater than the depths of the  
15 flood in 1990. In fact, in the 400,000 cubic foot per  
16 second discharge in 1815, scientists estimate that there  
17 was as much as 40 feet of water in some locations in the  
18 Nookachamps Basin.

19 So what -- how do we bring this forward to  
20 today? The history that you are going to be learning  
21 about in this case isn't simply the geologic or  
22 hydrologic history of the Skagit River and how the delta  
23 got to be formed to the way it is today, it's also the  
24 history involving a chronology of events, and this  
25 history of events includes both the settling of the

1 Skagit Valley by citizens and the formation of different  
2 kinds of government, and different kinds of governments  
3 in this case to perform specific purposes, and one of  
4 the main forms of government that you'll be learning  
5 about are the diking districts.

6 Diking districts are a special municipal entity,  
7 a form of government established by the legislature of  
8 the State of Washington. When I'm showing you now on  
9 the screen is the statute that sets up the system of  
10 diking districts, and what the statute provides is that  
11 the Board of Dike Commissioners shall have the exclusive  
12 charge of the construction and maintenance of all dikes  
13 or dike systems which may be constructed within a  
14 district and shall be the executive officers thereof,  
15 with full power to bind the district by the acts -- by  
16 their acts in the performance of their duties as  
17 provided by law.

18 Mr. Hagens, in his opening statement, told you  
19 that it was the county that controlled the diking  
20 districts. That is not true. This statute, the  
21 legislative enactment by the State of Washington,  
22 demonstrates conclusively that it's the Board of Dike  
23 Commissioners that controls and has the exclusive charge  
24 of both construction and maintenance of the dikes, and  
25 that is true with the dikes along the Skagit.



1           Mr. Hagens talked to you about Dike District 12.  
2           The location of Dike District 12 is right here, down to  
3           approximately this area, and includes all of this  
4           section of dike above the Burlington Northern Bridge,  
5           the choke point that he talked about which is located  
6           right here. Dike District 12 was formed in  
7           approximately 1906 and has its own elected board of  
8           commissioners. Although Mr. Hagens was correct that  
9           initially the Board of Commissioners was appointed by  
10          the county commissioners, that's simply the mechanism  
11          for how the dike district gets going. As soon as the  
12          initial commissioners are appointed, they're then  
13          elected thereafter by a vote of the constituent property  
14          owners within the diking district, and that's the way  
15          Dike District 12 has been formed, pursuant to statute,  
16          and that's the way it has operated ever since it has  
17          been in existence.

18                 Now, the purpose of dike districts, of course, is  
19                 to construct and maintain dikes, and the reason is for  
20                 the protection of the properties that are within the  
21                 boundaries of the dikes. That's why they're formed.  
22                 That's why they exist, and the authority to exist in  
23                 that fashion is found specifically in the statutes of  
24                 the State of Washington.

25                 This dike, Dike District 12, is really the only

1 important dike for your consideration in this case. Mr.  
2 Hagens agreed in his opening statement that without Dike  
3 District 12's dike in this location, the water, assuming  
4 it got high enough, would flow out to Padilla Bay  
5 through this area. And I don't think there would be any  
6 dispute among experts in this case that that might be  
7 true as long as the water got high enough to come over  
8 the bank and exceed whatever other topographical  
9 impediments there are in this area, but there are many.  
10 There's I-5 located here. There's Highway 99 located  
11 here. There's the Burlington Northern Railroad grade,  
12 which is an integral part of Dike District 12's dike in  
13 this location here, and there is Highway 20 and the  
14 railroad together which run in a northeasterly direction  
15 through the town of Burlington. All those operate as  
16 impediments to the flow of water, and in some places  
17 constitute the only impediment to the flow of water off  
18 here to the Samish River Basin.

19 The computer model that Mr. Hagens talked about  
20 earlier really compares a situation of the existence of  
21 a dike here with the existence of no dike, and that's  
22 very important and I'll talk about that in a second as  
23 to what it means. But I want you to remember throughout  
24 the course of the presentation of the plaintiffs' case  
25 that the -- all of the figures that you're going to be

1           seeing for water surface elevations, in other words how  
2           high the water is under any given flow event, are  
3           assuming only two conditions: One, with this dike in  
4           place and, two, with it gone, completely gone. So, in  
5           other words, the plaintiffs' evidence in this case will  
6           assume that the dike districts do not have the power to  
7           construct and maintain the dikes in their place, and the  
8           damages that the plaintiffs will ask you to award are  
9           based on an analysis of taking away Dike District 12's  
10          dike and undoing the statutory authority that those dike  
11          districts have to construct and maintain their dike.

12                 Now, I want to go back to our chronology for a  
13          bit. I've talked a little bit about the large floods  
14          that took place between 1896, and 1932 was the last  
15          really significant flood, maybe 1933, 110,000, before  
16          the State became involved in the business of flood  
17          control. We have dikes built by citizens and diking  
18          districts in this period 1890 to 1910. We have this  
19          period of huge floods on the Skagit between 100 and  
20          220,000 cubic feet per second during this approximately  
21          35 to 40 year period, and then in 1935 we had the  
22          passage of another enactment, a statute called the Flood  
23          Control Act of 1935, in which the State of Washington  
24          determined that, because of these dangerous conditions  
25          that had taken place in the early history of the State,

1           that it was time for the State to get involved, and what  
2           the State -- the legislature did is it passed an  
3           enactment called this Flood Control Act which  
4           specifically said that it was the State's concern that  
5           the alleviation of recurring flood damages to public and  
6           private property, to public health and safety and to the  
7           development of natural resources of the state is  
8           declared to be a matter of public concern and, as an aid  
9           in affecting such alleviation, the State of Washington,  
10          in the exercise of its sovereign powers, hereby assumes  
11          full regulatory control over the navigable and  
12          non-navigable water flowing or lying within the borders  
13          of the State, subject also to the federal control of  
14          navigation.

15                 The act went on to describe the mechanism for how  
16          the State was going to implement its policy of flood  
17          control, but the important first point to consider is  
18          that in 1935, the statute passed a state policy, and  
19          this was after the dikes had already been built by Dike  
20          District 12.

21                 Now, how did the State carry out its policy of  
22          flood control? What it did was, first of all, it  
23          established the Office of the Supervisor of Hydraulics.  
24          This is a little bit of a typo, Commissioner of  
25          Hydraulics, I misstated it to my secretary. She typed

1           it in. It should be Supervisor of Hydraulics, that's  
2           the term that's used in the 1935 act. And then a system  
3           was implemented by the State so that the Supervisor of  
4           Hydraulics would review all the plans for diking  
5           construction and maintenance and issue permits, as long  
6           as the Supervisor of Hydraulics found that the proposed  
7           project would be in compliance with the state policy of  
8           flood control. Section (3) of the act sets this out.  
9           Says "State regulatory control shall be exercised  
10          through regulatory orders. The designation of flood  
11          control zones and the issuance of permits as hereinafter  
12          provided shall be exercised over the planning,  
13          construction, operation and maintenance of any works,  
14          structures or improvement, private or public, which, if  
15          improperly planned, would have an adverse influence on  
16          the regimen of any stream or body of water that might  
17          affect the life, health or safety of property against  
18          damage by flood water.

19                 So, we have in 19 -- in 1906 the building of Dike  
20          District 12's dike, in 1935 the State policy of flood  
21          control where the State assumed full regulatory control  
22          over the dikes and the establishment of the Supervisor  
23          of Hydraulics, whose function it was to evaluate all of  
24          these works so that it could determine, be determined  
25          whether or not they fell within the State's policy of

1 flood control.

2 Now, of course, things didn't stay exactly the  
3 same. You'll be probably not surprised to learn that,  
4 like any governmental entity, there was an evolution  
5 over time, so that although it was the Supervisor of  
6 Hydraulics in 1935, in 1951 they changed the designation  
7 to the Supervisor of Flood Control, essentially a new  
8 title for the same job, and then again in that year they  
9 put the job of Supervisor of Flood Control under the  
10 Department of Conservation and Development, a newly  
11 created agency of the State. That name was shortened to  
12 the Department of Conservation in 1957. In 1965 they  
13 put the same job under the target of Water Resources,  
14 and finally in 1970, the State agency evolved into the  
15 present day Department of Ecology, which is the agency  
16 that now has the same responsibility for evaluating  
17 whether or not any flood control structure, if  
18 improperly planned, constructed, operated or maintained,  
19 would adversely influence the flow of water down any  
20 particular stream.

21 I might mention at this time that during the  
22 1960's, the 1935 act was fleshed out by a Washington  
23 Administrative Code regulation. An administrative code  
24 regulation's basically a rule established by the State  
25 to implement the statute, and the statute, again being

1 the 1935 act, which has been updated into a statute  
2 known as RCW, 86.16 which you'll learn much more about  
3 as we go along. The modern day version of the Flood  
4 Control Act of 1935 has now been fleshed out so that the  
5 Department of Ecology has, in addition to the  
6 responsibilities under the act, it has the  
7 responsibility of determining whether any structures or  
8 works would adversely influence the regimen or body of  
9 water by restricting, altering or hindering or  
10 increasing the flow of water in the floodway or flood  
11 channel expected during a one-hundred year flood. And  
12 if you look at this parenthetical, this is very  
13 important, "In consideration of this provision, the  
14 Department," that's the Department of Ecology now, shall  
15 "determine whether the structures, either alone, alone  
16 or in conjunction with any other existing or future  
17 similar works, could adversely influence the efficiency  
18 or the capacity of the floodway and adversely affect the  
19 existing drainage courses or facilities."

20 So, in this point in our history we have this  
21 construction of the dikes by the Diking District with  
22 the power to maintain them. We have the establishment  
23 of the State Supervisor of Hydraulics and subsequent  
24 agencies, and we have the designation specifically by  
25 the 1935 act and by the Washington Administrative Code

1 regulation of the responsibility to the Department of  
2 Ecology to determine what the effect is going to be,  
3 either alone or in conjunction with any of the other  
4 works or structures that have been constructed in the  
5 floodway.

6 Now, how did these dikes go about getting from  
7 their initial form when they were built originally in  
8 1906 to the form that they now exist in? They got there  
9 by a system of permits, because when the Supervisor of  
10 Hydraulics was given the function in 1935 to evaluate  
11 all of these dikes, they did so. Surprising thing. The  
12 government agency went out and did what it was supposed  
13 to do, it evaluated these dikes that had already been  
14 built, and you will learn through the course of this  
15 case that they then issued permits.

16 This first permit that I'm showing you here, this  
17 first permit here, Number 111, which you'll see is a  
18 permit issued to Diking District 12 for this dike here,  
19 although this dike wasn't located in exactly this  
20 position in 1936 when this permit was issued, but it's a  
21 permit by the State of Washington for Dike District 12  
22 to reconstruct because the dike had been damaged in a  
23 flood event, to reconstruct and to maintain in  
24 perpetuity, meaning forever, a dike along the west bank  
25 of the Skagit River between Burlington and the Riverside



1 Bridge. These permits constitute legal permission by  
2 the State of Washington to the Diking District in order  
3 to construct and maintain their dikes.

4 Okay. And that was what was done in this case is  
5 Dike District No. 12 and Dike District number 17, in  
6 fact, all the other dikes along the river, have received  
7 these permits from the State of Washington to construct  
8 and to maintain these dikes in perpetuity, and the  
9 reason is -- harkening back to the 1935 act, because the  
10 State of Washington and its Supervisor of Hydraulics and  
11 subsequent agents determined that these dikes would be  
12 needing to meet the State policy of flood control which  
13 was articulated in the 1935 act.

14 Now, again, a permit for Dike District 17 to  
15 maintain a dike in perpetuity issued in 1937 by the  
16 Supervisor of Hydraulics, same permission.

17 Now, let's talk about a more modern change to the  
18 diking system. Dike District 12 used to exist somewhat  
19 closer to the City of Burlington and it did not extend  
20 as far upstream as it currently does. Mr. Hagens has  
21 alluded to the fact that the dike has changed over  
22 time. It did in the early days. It had some buildup  
23 between 1906 and 1955, but in 1955 the last major change  
24 to Dike District 12's dike was implemented, and it was  
25 implemented again by a permit, which is this permit

1 here, Number 7144 signed on August 2nd, 1955 by Gregory  
2 Hastings, who was then the State Supervisor of Flood  
3 Control, and what Mr. Hastings did was he followed his  
4 statutory duties. He evaluated the proposal, which was  
5 an earth-filled dike, which is a relocation and  
6 extension of the existing dike to be located south  
7 easterly and east of Burlington, in other words, in this  
8 location here, and he determined that it met the State  
9 policy of flood control to issue this permit so that  
10 Dike District 12 could reconstruct its dike in 1955 to  
11 where it currently is located, and it's currently  
12 located in essentially the exact same location,  
13 essentially the same height and essentially the same  
14 general configuration. There have been a few changes.  
15 There have been keyways added so it doesn't wash out.  
16 There's a road on top of it now that didn't used to  
17 exist. There's riprap that have been replaced over  
18 time, because all of these dikes are damaged during  
19 times of high water, but in terms of its location and  
20 its height, it's in essentially the same exact condition  
21 as it was in 1935 when it was permitted to exist in  
22 perpetuity by the State of Washington. And, again, the  
23 testimony in this case will show you that when the State  
24 granted the right for Dike District 12 to construct and  
25 maintain its dike in perpetuity, it expected it to do

1           it.

2                     Let's talk a little bit about dike maintenance.  
3           Mr. Hagens made a big deal -- he always makes a big deal  
4           in this case -- about maintaining dikes, making them  
5           stronger. What you'll learn in this case about the  
6           maintenance of dikes is this. Every time you have high  
7           water, a high water event, the hydraulics of the flood  
8           damage the dikes, and the reason for that is because  
9           they're basically earth-filled dikes, and what you have  
10          is you have a dike that, say, is at this level here.  
11          Water comes go up here. As the water rises, it exerts  
12          hydraulic pressure against the toe of the dike and the  
13          dike begins to fail in different ways. If it's porous,  
14          the water will seap through and it will erode the dike  
15          by having it be undermined by seams and boils. If it's  
16          excessive rainfall, it will be eroded from the top.

17                    And there are other problems that can exist with  
18          dikes. For instance, cattle often graze on dikes and  
19          will wear trails, and once a trail has started, you have  
20          excessive rainfall, you get erosion from the top.  
21          Sometimes the dikes aren't maintained because the grass  
22          dies and that also supports further erosion, but in  
23          order to have a dike, which every expert and every  
24          governmental official and probably even the plaintiffs  
25          agree, if you're going to have a dike, it might as well

1 work.

2 In order to have a dike work, it has to be  
3 maintained, and that is why these permits from the State  
4 of Washington say that the permit is issued to construct  
5 and maintain the dike in perpetuity. So that when Mr.  
6 Hagens and the plaintiffs in this case complain about  
7 the maintenance of dikes, they're really complaining  
8 about something that was made in a decision a long time  
9 ago to allow this dike to exist. And our position in  
10 this case, and it's a defense in this case, is that Dike  
11 District 12 acquired the right to legally have its dike  
12 in its current location, at its current height,  
13 essentially all of its same features in 1955. The  
14 entity that had control over the construction and  
15 maintenance of that dike by statute is the Diking  
16 District. The entity that granted it permission to do  
17 that in perpetuity is the State of Washington. The  
18 county, of course, has some responsibilities and, of  
19 course, has some relationship, as you would expect,  
20 between government in any county where it's located.  
21 There are a number of relationships that exist and  
22 they're important relationships.

23 The county acts as a liaison between governmental  
24 entities. It does the bookwork for the diking  
25 districts. It's required to by statute. Your

1 legislature, the State of Washington, set forth  
2 procedures so you don't have a redundancy in government,  
3 but that doesn't mean that the entity that has complete  
4 charge of construction and maintenance of the dikes is  
5 the county instead of the diking districts, and the  
6 plaintiffs understand and agree with this.

7 In fact, in this case, prior to this trial, the  
8 plaintiffs sued the diking districts and alleged in this  
9 case the very things that I'm telling you now and the  
10 very things that they're complaining about the county  
11 about. They said in this case that the Diking District  
12 defendants, that's Diking District 12 and 17, maintain a  
13 continuous wall of dikes and levees -- excuse me a wall  
14 of levees in the flood channel of the Skagit River.

15 In 1990 this system operated precisely as  
16 intended and caused water to be diverted onto the  
17 plaintiffs properties. River water that should have  
18 been flowing towards Padilla Bay and Puget Sound to the  
19 west instead was diverted onto plaintiffs' property in a  
20 unnaturally great amount. Those are the words of the  
21 plaintiff in this lawsuit prior to today. They're  
22 making the same contentions and the same allegations  
23 that the county is saying, that we don't believe that a  
24 taking occurred, because in order to have a taking you  
25 have to have damage that was unexpected or unanticipated

1 or part of the bundle of rights of a property owner, and  
2 I'll get to that in a second, but if a taking occurred,  
3 the county's position is that it wasn't the county that  
4 built the dike and took the property, the county hasn't  
5 built these dikes, and it wasn't the county that  
6 permitted them to be constructed and maintained in  
7 perpetuity, rather that was the Diking District, and  
8 that's exactly what the plaintiff said prior to the time  
9 they brought this lawsuit solely against the county.

10 Now, again, you will learn that not only did Mr.  
11 Hastings permit this dike to exist in perpetuity, he  
12 followed the statute and the predecessor of the  
13 Administrative Code Regulation and performed a thorough  
14 examination of the plans of the proposed work.

15 Here's a letter that Mr. Hastings wrote to Mr. B.  
16 J. Bournes, the Secretary Treasurer of the Sterling Dike  
17 Association in August of 1955. The Sterling Dike  
18 Association was a citizens group that had some  
19 objections or some concerns about the extension of this  
20 dike, the dike extension of Dike District 12, and they  
21 had a communication and correspondence with the State  
22 about whether it was a good idea. What Mr. Hastings  
23 said, again, the Supervisor of Flood Control, is he said  
24 that upon a most thorough examination of the plans and  
25 specifications of the proposed work on the ground, I

1 find that the proposed dike extension will not adversely  
2 affect the normal regime of the river's flood channel  
3 and is consistent with both the comprehensive plan and  
4 development of both the district and the county.  
5 Therefore, in accordance with this -- the provisions of  
6 Chapter 18.16 -- remember, that's the statute that's the  
7 modern day version of the 1935 act -- a permit has been  
8 issued, and not only was a permit issued, but the State  
9 agreed to fund the dike and pay for 40 percent of it, so  
10 that this last major change to the dike, Dike District  
11 12's dike, was funded 60 percent by the Diking District  
12 and 40 percent by the State and not at all by Skagit  
13 County.

14 Now, I'd like to switch gears here for a little  
15 bit and, instead of talking about dike districts and  
16 dikes, I'd like to talk to you about the plaintiffs.  
17 Mr. Hagens has given you some tags on this map of where  
18 the plaintiffs live. What I've done is I've created a  
19 chart that shows where the plaintiffs' properties are,  
20 because these pins here identify residences. The  
21 properties, many of them are large farms, and these  
22 farms are farms that -- many of them have existed for a  
23 long period of time. They're farms located here because  
24 that's what the land is good for. It's low. It's  
25 subject to flooding. It's good farm land. It's

1 inundated by silts. That's why people located for  
2 farms.

3 You'll notice by Mr. Hagens' map, when plaintiffs  
4 or their predecessors wanted to locate residences, they  
5 generally did it on the perimeter of this area. Why  
6 would they do that? The evidence in this case will show  
7 that they did that because they knew that in times of  
8 high water this area flooded and they didn't want to  
9 place their houses where the flood waters are.

10 As part of its responsibilities in order to  
11 evaluate flood hazards, the State of Washington asked  
12 the Army Corps of Engineers to generate a report, and  
13 the report which was done in 1967, 30 years ago, prior  
14 to the time that many of the plaintiffs were even adults  
15 is, called the Flood Plain Information Study for the  
16 Skagit Basin, and what I'm showing you here is a summary  
17 report of that Flood Plain Information Study.

18 This study is an extremely important piece of  
19 evidence in this case for the reason that it sets forth  
20 what the plaintiffs or their predecessors could have  
21 learned if they had bothered to study the properties  
22 that they sought to purchase. Now, not only was the  
23 flood plain information published in this form by the  
24 Army Corps of Engineers, it was given to various  
25 governmental entities like Skagit and like the



1 Department of Conservation, this State entity, and it  
2 was available for anybody to review, so if you were a  
3 plaintiff or a prospective property owner in 1967 or  
4 thereafter, you would have had access to this  
5 information.

6 Mr. Hagens says there was no information  
7 available to people moving into the Nookachamps area to  
8 determine whether or not it flooded, but that's not  
9 correct because not only was this Flood Plain  
10 Information Study widely disseminated, it was published  
11 verbatim in the newspaper, and what was it that was  
12 published in the newspaper? This is a copy of the Puget  
13 Sound Mail from May 25th, 1967. What was published was  
14 the very same information that was in the Flood Plain  
15 Information Study, and it's very important to this case  
16 to determine what was available, if somebody had wanted  
17 to learn about these properties and what had happened.

18 Really, what we're dealing with is we're dealing  
19 with human nature. Because there had not been a big  
20 flood in the Skagit Valley between 1951 and 1975, a  
21 period of approximately 24 years, people started to move  
22 back into the valley. They forgot about the danger of  
23 the floods and, in fact, they had a remarkable period  
24 between 1951 and 1990 where there was only one big  
25 flood, in 1975. Many of the plaintiffs experienced

1           that. It wasn't a huge flood, but it was a flood in  
2           conjunction with the 1951 that easily could have put  
3           people on notice of the potential danger and the  
4           potential power of these floods. And the newspaper  
5           pointed this out.

6                         It says, "As the Skagit Valley has not  
7           experienced even a moderate flood since 1959," remember,  
8           this is in 1967 when this is being written, "there is a  
9           tendency among some of the valley residents to disregard  
10          the flood problem. The much larger flood of 1951 is  
11          even less well remembered," only 16 years after it took  
12          place. "The flood of 1921, which had more than twice  
13          the peak discharge of 1959, is practically forgotten.  
14          However, recent disasters in floods in other parts of  
15          the nation clearly illustrate that a long flood-free  
16          period is no assurance of future immunity to flooding.  
17          In view of the lack of recent flood experience, there  
18          has been an increase of occupancy of the flood plain."

19                        People moved in, not doing the research to  
20          determine what they might be facing. That's not all the  
21          flood plain information shows though. The Flood Plain  
22          Information Study had a specific description of how the  
23          flood operates in the Skagit Basin, and this is very  
24          important. What was published in the study and in the  
25          newspaper is this description: It says, "When the river

1           overflows its banks, a sheet of water quickly spreads  
2           across the flood plain. The water is generally shallow  
3           at the beginning and some inundated roads remain  
4           passable. However water may stand several feet deep in  
5           old river channels and other depressions. As the flow  
6           increases towards the peak of the flood, water expands  
7           to the outer limit of the flood plain and rises to  
8           greater elevations. The normal river banks may  
9           disappear from sight, submerge beneath a mile-wide  
10          expanse of water. Vehicles being driven along drowned  
11          roads are endangered as the force of flowing water may  
12          be enough to carry cars and trucks off the pavement into  
13          ditches and fields. Homes in the flood plain may be  
14          inundated, furniture water-logged, basements filled with  
15          silt and degree. With greater depth and force of  
16          flowing water, buildings may be moved off their  
17          foundation or undermined."

18                 Mr. Hagens made a big point of telling you that  
19          his information will be that the plaintiffs suffered  
20          dirty silt-laden smelly awful water in their houses, and  
21          they did, and we sympathize with that. There's no  
22          question that they've had a difficult time in these  
23          floods, everybody does during a disaster, but the  
24          question in this case isn't whether they had a problem  
25          with the floods. Everybody had a problem with the

1 floods in 1990. The issue is whether or not they could  
2 have known this in advance, and here's a newspaper  
3 article published 30 years ago that specifically said if  
4 you're going to build in the flood plain, you may have  
5 your water -- your basement water-logged, your furniture  
6 water-logged, your basement filled with silt and debris,  
7 and you may even have your house removed from its  
8 foundations.

9 Now, let me show you some graphic depictions of  
10 what this is likely to be like, a mile wide swath of  
11 water. Looking south, published in the Seattle Post  
12 Intelligencer, February 10th, 1951, these are the  
13 properties out here that are owned by the plaintiffs who  
14 are seeking compensation from you in this case against  
15 Skagit County. This is the mile wide swath of water.  
16 Dike District 12's dike is at least a mile over in this  
17 direction over here. Anyone who wanted to purchase  
18 property in the Nookachamps area after 1951 could have  
19 looked at this photograph after '67, could have looked  
20 at the Flood Plain Information Study, and not only did  
21 the Flood Plain Information Study publish the  
22 description, but it had a specific map of where the  
23 flood plain is located. This is the Nookachamps Basin.  
24 This green as shown here in the Flood Plain Information  
25 Study isn't the hundred-year flood plain, it's the

1 50-year flood plain. It's the flood plain for a flood  
2 that's expected to occur at least once in every 50  
3 years, and you will see that each of the plaintiffs'  
4 properties is located in that 50-year flood plain. It  
5 doesn't mean that it will only flood every 50 years, but  
6 what it means is that you can expect, if you are a  
7 property owner or prospective property purchaser, to  
8 have a flood at least once every 50 years.

9 Now, what I've also done is I've taken this map  
10 showing the property owners and I've superimposed on top  
11 of it this 50-year flood plain, and what you can see, if  
12 anybody took the time to look or to investigate prior to  
13 the time they bought property in the Nookachamps area,  
14 is that every one of the plaintiffs' properties is  
15 located, with the exception of some of Mr. Lundvall's  
16 and some of Mr. Cheeks' property which didn't flood in  
17 1990, within the 50 year flood plain. Every one, and  
18 this information was readily available to them, readily  
19 available to anybody who wanted to read the newspaper or  
20 pick up a copy of the Flood Plain Information Study, so  
21 that when the plaintiffs come before you in this case  
22 and say we didn't know, we couldn't have known, the  
23 county didn't tell us, what are they really telling  
24 you? They're really telling you that they did not take  
25 even the most basic steps to investigate where their

1 properties were and what influence the flood plain would  
2 have on their properties prior to the time they bought  
3 them.

4 Mr. Hagens told you that Mr. Loeb was a  
5 particularly sympathetic plaintiff because he had a  
6 greenhouse operation, and he located his greenhouse  
7 operation in approximately this area here. Mr. Loeb's  
8 property is the Summer Sun Greenhouse, and it's located  
9 right here, and he suffered some damage to his  
10 greenhouse. Mr. Loeb didn't buy his property until the  
11 early 1970s, 13 years at least after the publication of  
12 the Flood Plain Information Study, and 13 years after  
13 all of the information concerning damage to floods was  
14 published. Because not only did the Flood Plain  
15 Information Study have the description of the flood, not  
16 only did the newspapers have pictures of the various  
17 flood events that took place, but also it had a specific  
18 description of the flood flows in thousands of cubic  
19 feet per second, and it had a estimate of the damage  
20 that was caused to the area by each of the floods. All  
21 of this information was available to the plaintiffs if  
22 they'd only chosen to use it.

23 Now, it doesn't stop with this. The information  
24 in this case will demonstrate that the flooding on the  
25 Skagit River has been extraordinarily well documented.

1           We'll present evidence in this case, and I'm showing you  
2           a few photographs here of the various floods that have  
3           taken place. And here's the 1909 flood in LaConner with  
4           people standing in boats outside their houses. Here's a  
5           picture of the 1918 flood in Burlington. You can see  
6           the water running down the street and getting into  
7           buildings. Burlington, remember, is supposedly  
8           protected by the dikes, Dike District No. 12. Here's a  
9           picture again of the 1909 flood showing Mount Vernon and  
10          the mile wide or more, looks to me more in this  
11          photograph, expanse of water. In 1917 and 1918, another  
12          flood, again, published photographs of the damage that  
13          existed to the houses where they're knocked off their  
14          foundations.

15                 The newspapers were replete with examples of  
16          barns floating away in these floods, people who had  
17          moved in back there, locating too close to the river,  
18          having their livestock drown, their barns tipped over,  
19          floating away, and the pictures of this damage makes for  
20          very interesting damage, and obviously it's not a  
21          subject of levity, but it's an intent historical record  
22          of the trouble that residents in the Skagit Valley have  
23          always had and will always have as long as there's a  
24          natural force of precipitation and as long as people  
25          want to build and live in the flood plain.

1           As far back as 1898 we have photographs showing  
2           the damage. Here's a photograph of Hamilton, Washington  
3           where whole buildings along a city street have been  
4           wiped out by damage by flood waters. Here's the 1909  
5           flood in LaConner. Here again is the 1917 and 1918  
6           flood in Mount Vernon. Here's a picture of people  
7           rowing their boats to their house in LaConner in 1917  
8           and 1918. Here's the 1921 flood.

9           Remember I talked about the power of the 1921  
10          flood coming over a road? You can see the telephone  
11          poles about to be washed away and, again, at least a  
12          mile wide expanse of water heading out to a stranded  
13          house in the flood plain. 1921 and 1932, similar  
14          mile-wide expanses of water showing the devastation of  
15          these floods. All of these pieces of historical  
16          information could have been learned by intelligent  
17          prospective property owners who did not want to  
18          voluntarily move into the Nookachamps.

19          But, as I indicated earlier and as Mr. Hagens  
20          indicated or alluded to in his opening remarks, people  
21          like the Skagit Valley. They like living in an area  
22          that is pastoral, that is pretty, that doesn't have a  
23          lot of other people around it. People make a choice  
24          when they move there, but in order to make that choice  
25          they have to take the good with the bad.



1                   You will learn that from the evidence in this  
2 case that there were some individuals who did make  
3 choices to protect themselves from the flooding. There  
4 are dairy farmers who are currently plaintiffs in the  
5 case, or former plaintiffs in the case, who established  
6 or who had their parents establish barns that had  
7 facilities for the cattle to exist on the second floor  
8 in the Nookachamps Basin. Mr. Johnson, whose farm is  
9 located in this area right here, he's no longer a  
10 plaintiff in the case but he was originally a named  
11 plaintiff in the case, has a barn that has stanchions  
12 for cows on the second floor. A Stanchion is a device  
13 to hold a cow in place while it can be milked. Probably  
14 many of you know this. It took this case for me to  
15 learn that but, anyway, the only possible purpose for  
16 having stanchions on the second floor of a barn is to  
17 have cattle on the second floor of the barn during times  
18 of high water.

19                   Now, if you're going to build a barn that has  
20 stanchions on the second floor, why do you do that?  
21 It's because you know that there is a chance of  
22 flooding. You build your barn in the Nookachamps Valley  
23 with the expectation that it's going to flood. And  
24 that's true of other plaintiffs in this case. Bertha  
25 Torgeson who is a plaintiff in this case has testified

1           that she had a barn on her farm that floated.  And the  
2           poor lawyer taking this deposition said, "The barn  
3           actually floated?"

4                         "Yes, on logs, and then it would go up and  
5           down."

6                         "Was that here when you bought the place?"

7                         "Yes, it was here.  And then one time, Alsworth,  
8           they went over there and they went and cut the cable and  
9           it floated over to my dad's place so when he had to tear  
10          it apart and build it back, and then we built the barn  
11          up."

12                        "Did your husband discuss the fact that the  
13          property flooded before you bought it?"

14                        "Oh, he knew it flooded."

15                        That would be the testimony of Mrs. Torgeson in  
16          this case with respect to her floating barn and whether  
17          or not she knew the property flooded prior to the time  
18          she and her husband bought it.

19                        The testimony in this case will also show that  
20          prospective property owners could have consulted with  
21          the old timers.  Mr. Hagens mentioned the Austins.  The  
22          Austins lived through the 1951 flood.  They're no longer  
23          plaintiffs in the case.  They had flood waters in their  
24          living room during the 1951 flood and again in 1990.  It  
25          was not news to them.  Whether or not the plaintiffs in

1           this case consulted with the Austins between the time of  
2           1951 and when they bought their property is unknown at  
3           this point, but you will probably learn whether they did  
4           when the plaintiffs testify.

5                     Judge Ward, who is a resident of the -- excuse  
6           me, of the -- of Francis Road, Francis Road being  
7           located approximately in here, Judge Ward, no longer  
8           being alive but being a foresightful person in Skagit  
9           County, built his house ten feet off the ground in order  
10          to accommodate the flood waters.

11                    This is a house built by somebody who anticipated  
12          the problems of flooding in the area. This house is now  
13          owned by Mrs. DeVries. She is a plaintiff in this  
14          case. Her property is located in here and Judge Ward's  
15          house is located in this approximate location here. She  
16          is a person who will come before you asking for  
17          compensation because flood waters have invaded their  
18          property. Judge Ward knew flood waters were going to  
19          invade the property and that's why he built this house  
20          in this location in this configuration in the 1950s.

21                    Now, I want to switch gears again for just a  
22          minute before closing. The plaintiffs' position in this  
23          case is that the activity of Skagit County has caused  
24          their problem. We will demonstrate through the evidence  
25          in this case that it isn't Skagit County that's caused

1 the problem and, in fact, the computer model presented  
2 by the plaintiffs in this case which demonstrates a  
3 condition of the dike system as it currently exists  
4 versus no dike, demonstrates that for at least the last  
5 40 years, at least since the last change to Dike  
6 District 12's dike, there has been no substantial change  
7 in the configuration of the dike as it affects the  
8 Nookachamps area.

9 There are other ways to prove this same  
10 proposition. What I'm showing you now is a rating  
11 curve, and I want you to spend a little bit of time on  
12 the concept of rating curves because it will be an  
13 important piece of evidence. They're a little bit  
14 difficult to understand but simple when you get the hang  
15 of it.

16 A rating curve, for the purpose of this case, is  
17 a chart that shows the relative height of water on one  
18 axis, that's the vertical axis in this case, and the  
19 flood flow on the horizontal axis, and what you see here  
20 is a rating curve that will be an exhibit in this trial  
21 from the Riverside Gauge. The Riverside Gauge is an  
22 United States Geological Survey device that's located  
23 downstream of the Riverside Bridge in this location  
24 right here and its purpose is to calculate how much  
25 water flow there is for any given flood. That's the

1 horizontal axis, and remember we talked about cubic feet  
2 per second before. The rating curve shows how many  
3 thousands or hundreds of thousands of cubic feet per  
4 second there is flowing by the gauge during any given  
5 flood, and the points on the curve represent the peak  
6 flow for any given flood, and I have some of them marked  
7 here.

8 They're a little hard to see so I'll blow it up  
9 here for a second. These peaks here, 1990, 1975, 1990,  
10 1951. These are all points for the flow on the rating  
11 curve at the USGS gauge, and what this -- you'll learn  
12 what these ratings curves show is that there has been no  
13 change in the ability of this system to pass water since  
14 at least 1951. Here's the '51 rating curve point and  
15 you can see that it's on exactly the same curve as 1990,  
16 1975, 1951 and the other 1990 flood. If there had been  
17 a difference in the ability of the system to pass water  
18 between different floods, you would have expected, as a  
19 hydraulic scientist, to get a skattering of points at  
20 different locations than on the curve, but because we  
21 have the USGS station there, we know that the rating  
22 curve -- we know that the floods for each of the floods  
23 over at least the last 40 or 50 years have all fit on  
24 exactly the same curve so that there has been no change  
25 in the ability of this system to pass water.

1                   What does that mean in this case? It means that  
2 the last major change in 1955 to this dike hasn't -- it  
3 means that the dike itself has no greater or lesser  
4 ability to restrict the flow of water towards Padilla  
5 Bay than it ever had, at least since the State permitted  
6 that last major change to exist, and the evidence in  
7 this case will demonstrate through the testimony of Mr.  
8 Hastings and others that even that change was very  
9 minimal relative to the overall system.

10                   Mr. Hastings estimates that the change from 1955  
11 to what preexisted that had zero effect on the flood  
12 waters and the amount of flooding to be expected in the  
13 Nookachamps area, and he'll so testify by video tape  
14 deposition because he is elderly and is ill.

15                   Now, I have only a few minutes left, but I want  
16 to say just a few words about the county's case against  
17 the State of Washington. As I indicated to you before,  
18 the county believes that it will demonstrate,  
19 correspondingly that the plaintiffs will fail to  
20 demonstrate there's been any taking of property by  
21 Skagit County. We believe that is a solid proposition  
22 because of the things that we pointed, because of the  
23 history of the dikes, that Skagit County didn't have the  
24 statutory authority to construct and build the dikes and  
25 it didn't construct and build the dike. It didn't make

1 a change to Dike District 12's dike in 1955 and it  
2 didn't permit those dikes to exist in perpetuity. It  
3 just didn't do that. It had have some responsibility  
4 later on for maintaining the dikes. It did fund some  
5 dike maintenance by making funds available to all dike  
6 districts and cities and towns who also have the right  
7 to maintain dikes in Skagit County through a program  
8 called a Skagit County Grant Fund Program, but what Mr.  
9 Hagens didn't tell you about the existence of those  
10 programs is what the county did when they made those  
11 funds available was that it appointed a citizens  
12 advisory committee called the Skagit County Flood  
13 Control Committee. Some of the plaintiffs have served  
14 on that committee. It's that committee, not the county,  
15 that prioritizes the needs of the various entities,  
16 including cities, towns and diking districts, for the  
17 money and it's that committee that puts in the request  
18 to the county for these funds in the priority that is  
19 established by the Flood Control Advisory Committee.

20 The testimony in this case will be that there has  
21 not been any instance since the Flood Control Advisory  
22 Committee came into effect in approximately 1980 when  
23 the priority requested by the committee was overturned  
24 or undermined or changed by the Skagit County  
25 Commissioners and, again, the evidence will show that

1 the funds that were made available by Skagit County were  
2 in the order of \$100,000 per year for most years,  
3 whereas the State made available the FCAAP fund, Flood  
4 Control Assistance Account Program, FCAAP, and you'll  
5 hear a lot about that. The State did the same thing.  
6 It made available funds to the county or to dike  
7 districts or to states or cities or whoever had a  
8 project that was allowed to be permitted by the state,  
9 again exercising its regulatory control to assist these  
10 entities in maintaining dikes and flood control  
11 structures that fit within the state policy of flood  
12 control.

13 You'll hear about FCAAP, you'll hear about the  
14 county grant program, but the important thing is that  
15 these were funding mechanisms that were made available  
16 and they were coordinated with a citizens advisory  
17 committee, a flood control committee which included  
18 representatives from all of the areas, including both  
19 Sterling and Nookachamps, and on whose committees some  
20 of the plaintiffs sat, so when the plaintiffs say the  
21 county has never done anything for these people,  
22 they've appointed an advisory committee. They've  
23 allowed people to serve on it, and they made funds  
24 available to serve the needs of the community in this  
25 area.



1                   Now, getting back to the issue of the case  
2                   against the State. We believe that when you get to the  
3                   end of this case you will determine, as we have, that  
4                   there has been no taking of the plaintiffs' property by  
5                   Skagit County. In fact, the county has simply done what  
6                   responsible government are supposed to do. They're  
7                   supposed to listen to the needs of all the citizens and  
8                   all the entities and governmental entities in the  
9                   county, including the diking districts, which have and  
10                  do have the right to legally maintain these dikes, and  
11                  they respond in an appropriate fashion, both during the  
12                  planning and funding mechanism for maintaining these  
13                  important public facilities and also in times of high  
14                  water and emergencies when people find themselves in  
15                  trouble because they have moved into the flood plain.

16                  We believe you'll find that no taking has  
17                  occurred, but if you determine that a taking has  
18                  occurred, it's our position, number one, that it was the  
19                  dike districts who constructed and maintained the dikes  
20                  and who had the exclusive right under state statute to  
21                  construct and maintain those facilities, and in the  
22                  event that you determine that a taking has occurred,  
23                  that you should pay attention to the statutes and  
24                  regulations that say that it was the exclusive province  
25                  of the State of Washington to make an determination as

1 to whether or not these structures that have been  
2 permitted to exist in perpetuity have an adverse  
3 influence on the floodway.

4 That's what the statutes do, they articulate a  
5 State policy of flood control and they give the State  
6 the responsibility to do this.

7 Another statute that you will hear about, 86.24,  
8 it's the last portion of the 1935 act that I'd like to  
9 talk about, and it is a portion of the statute -- if I  
10 can find it here -- it's a portion of the statute that  
11 says that in the event where counties operate together  
12 with the state -- I'm going to flow it up here, again a  
13 portion of the 1935 act, Section 163, what it says is  
14 "That a county or counties acting jointly in order to  
15 take action in matters related to flood control act for  
16 the State when it performs such activities under this  
17 provision of the statute."

18 I'd like to read it to you in its entirety. "The  
19 State Director of Conservation and Development, in  
20 cooperation with the Secretary of War acting through the  
21 Corps of Engineers of the United States Army, and any  
22 other agencies of the United States, and in cooperation  
23 with any official agency or institution of the State and  
24 any flood control district created under the laws of the  
25 State and any county or any counties acting jointly

1           pursuant to Chapter 54 of the Session Laws of 1913," and  
2           here's the important part, "shall act for the State in  
3           the formulation of plans for the control of floods in  
4           the several flood areas of the State."

5           The county has always taken some measures with  
6           respect to flood control. It has to. It has to do the  
7           bookwork, for instance, of the dike district. It has to  
8           cooperate for its interlocal agreements with the diking  
9           districts and with the State and with the federal  
10          government and the Army Corps of Engineers. When it  
11          does that, it is acting pursuant to the terms of this  
12          1935 act as an agent of the State. It says "it shall  
13          act for the State," and that's an agency relationship,  
14          ladies and gentlemen.

15          At the end of the case the judge will instruct  
16          you on the law relating to agency, but I want you to  
17          harken back at that time to determine whether or not  
18          these acts by the county were for the formulation of  
19          plans for flood control, because if they were pursuant  
20          to the state policy of flood control, the 1935 act and  
21          it's modern counterparts, those acts are solely for the  
22          State. They're not for the county, they're not for  
23          other purposes, it's for the State policy of flood  
24          control.

25          So, ladies and gentlemen, we think that you will

1 find that there has been no taking of the property. We  
2 think if you find that there is a taking, you will  
3 correctly allocate that responsibility to the diking  
4 district who own the dikes and the State of Washington  
5 who articulated the policy of flood control. Certainly  
6 the county has responsibility. Certainly the county  
7 does certain things. What they didn't do is build the  
8 dike. They didn't build Dike District 12. They didn't  
9 have the power or the right under state law to maintain  
10 that. That lies exclusively with the diking districts,  
11 and to the extent that they did acts at all, they acted  
12 for the State of Washington.

13 Thank you very much for your patience. I know  
14 I've been long-winded. I'm likely to get hoarse. I  
15 apologize.

16 Thank you for your attention.

17 THE COURT: Thank you, counsel.

18 THE COURT: At this time I'm going to give you an  
19 afternoon break. The State is anticipating an opening  
20 statement that they think will be in the 45 minute --  
21 they anticipate between 45 minutes, an hour, something  
22 of that sort, for them to explain their anticipation of  
23 how the case will develop, so we'll take a break now so  
24 we can all get a stretch and a breath of fresh air,  
25 whatever we need to do between that period of time.

1 That should allow us some time this afternoon to begin  
2 actually with testimony in this case, depending on how  
3 everything works itself out, so with that, we'll take a  
4 15 minute recess then.

5 (Recess was taken.)

6 (Whereupon, the following  
7 occurred in the  
8 presence of the jury:)

9 THE COURT: Mr. Anderson.

10 MR. ANDERSON: Thank you, Your Honor.

11 May it please the Court, opposing counsel, ladies  
12 and gentlemen of the jury, as you know, I'm Assistant  
13 Attorney General Glen Anderson and I'm the Assistant  
14 Attorney General that's been assigned to represent the  
15 State in this case.

16 I'd like to introduce at this time Mr. Tim  
17 D'Acci. Mr. D'Acci is the State Coordinator for the  
18 National Flood Insurance Program and he also has  
19 responsibility for the FCAAP program which you've heard  
20 a little bit about but you'll hear more about from me,  
21 and perhaps you'll hear some testimony from Mr. D'Acci  
22 about it later on in this case.

23 The issue in this case is very simple from the  
24 State's perspective. The issue in this case is who  
25 should bare the risk of the damage caused by flooding on

1 the plaintiffs' property in November of 1990. Now,  
2 there's no dispute that the plaintiffs' property  
3 flooded. That's not the problem. The question is who  
4 should bear that risk. Should it be the plaintiffs who  
5 purchased the property in the flood plain and who, based  
6 on their property in the flood plain, could expect it to  
7 be flooded, or should it be the dike districts who own  
8 the dikes.

9 And it's undisputed in this case, let me point  
10 that out at this point in time, it's undisputed in this  
11 case that the dike districts constructed and own the  
12 dikes which the plaintiffs contend caused their  
13 damages. Should it be they who pay? Should it be the  
14 county who, as the plaintiffs contend, has based --  
15 based on the county's participation in assisting the  
16 dike districts in maintaining the levees, or should it  
17 be the State based on the State's regulation of the dike  
18 district's activities, and it's the State's position in  
19 this case, if it is anyone other than the plaintiffs  
20 that should bare this risk it should be the dike  
21 districts.

22 And I'm going to be right up front with you. I'm  
23 going to tell you that if anyone is responsible for  
24 these levees it's the dike districts, and they're not  
25 here, but we're asking you to put that responsibility on

1           them because the dike districts are the ones that  
2           created the levees, they're the ones that constructed  
3           the levees, they're the one that own the levees, they're  
4           the one that maintain the levees, and they are the  
5           individuals who benefit from the levees, and those who  
6           benefit from something that causes damage ought to be  
7           the ones who pay if that structure causes damage. It's  
8           a simple proposition and that's the proposition we're  
9           relying on in this case.

10                    Before I talk about the evidence you're going to  
11           hear in this case, and I will apologize at this point  
12           because I'm going to repeat some of the things you've  
13           already heard. I'm going to try not to repeat too much  
14           of it but I feel the need to do that, because part of  
15           it needs to go in the flow of my explanation and my  
16           statement and why I think the responsibility lies with  
17           the plaintiffs and the dike districts, but before I do  
18           that I want to talk a little bit about the posture of  
19           the case, and you've already heard it from me before,  
20           but the plaintiffs are not suing the State in this  
21           case. They have not brought any claims. They are suing  
22           the county, and so it's their burden to prove that the  
23           county has caused their damages, that is but for what  
24           the county did the plaintiffs wouldn't have suffered any  
25           damages. And so first the plaintiffs have to prove

1 their cause of action against the county, and you've  
2 already heard some of the defense that Mr. Smart has or  
3 some of the things that the county has argued to defend  
4 against that claim.

5 It's only if you find that the county is  
6 responsible for the damages caused to the plaintiffs  
7 that you have to worry about the county's claim against  
8 the State. The reason for that is that the county's the  
9 only one making a claim against the State, and their  
10 claim, as you heard Mr. Smart, is that the county, to  
11 the extent that it may do anything on the levees, acts  
12 as the State's agent. That's what their claim is. They  
13 say if we did anything, we did it on behalf of the  
14 State, and in order to carry their claim on that, the  
15 county is required to prove that that they were required  
16 to act or they acted at the instance of the State and  
17 that they acted under the direction and control of the  
18 State.

19 Now, the evidence in this case will show that the  
20 State has done nothing to require the county to do the  
21 things they do related to the levees. The State does  
22 not require the county to participate in levee making.  
23 The State has never required the county to construct or  
24 maintain levees, and I just -- I want to briefly address  
25 not having any evidence to establish that this State



1 requires the county or has required the county to  
2 participate in levee maintenance.

3 Mr. Smart, at the end of his argument, pointed  
4 out a statutory provision, RCW 86.24, actually he refers  
5 to the 1935 act but it's codified now in RCW 86.24 of  
6 the State statutes, and Mr. Smart represented to you  
7 that this statute -- and he had it highlighted and up  
8 there on the screen, too -- for the proposition that to  
9 the extent that the county did anything, they acted on  
10 behalf of the State, and that's what Mr. Smart says the  
11 statute says.

12 The State does not agree that that's what the  
13 statute says, and the State's position is that any  
14 reasonable reading of that statute indicates that the  
15 State Director of Conservation acts on behalf of the  
16 State in cooperation with all these other agencies.  
17 Under Mr. Smart's reading of the statute, the United  
18 States Army, the Corps of Engineers, the counties,  
19 anybody else that's mentioned in this statute, the long  
20 list he read off, acts as the State's agent, and that's  
21 simply not the case.

22 Now, what the testimony and evidence in this case  
23 show, the testimony and evidence, you've already heard a  
24 little bit of this, is that the Skagit River has  
25 historically flood odd numerous occasions. It's flooded

1 41 times this century. It's exceeded 100 cubic feet per  
2 second at the Mount Vernon gauging station, which is  
3 considered to be significant flooding, more than 20  
4 times in recorded history. And in each of these  
5 instances, the Nookachamps area where the plaintiffs  
6 lives floods. That fact has been identified as early as  
7 1923 in a Army Corps of Engineers study. The Army Corps  
8 of Engineers did a flood reconnaissance study of the  
9 Skagit River and they reported during significant  
10 flooding events, even lower than 100 feet cubic feet per  
11 second, that the Nookachamps area flooded and it filled  
12 up with water. That study and that finding has been  
13 repeated throughout the years.

14 The Army Corps of Engineers has done a number of  
15 studies in the thirties, in the fifties, in the sixties,  
16 and even in 1979 recording that fact. Consistent with  
17 that reality, the evidence in this case is going to show  
18 that the plaintiffs' property has flooded historically.  
19 Mr. Smart has talked about that to you and I won't  
20 repeat a lot of that, but the evidence, for example, is  
21 going to show Mrs. Torgeson's property has flooded on  
22 several occasions to the extent that her barn floated  
23 away. The evidence is going to show that an individual  
24 named Madison Parker, when he purchased his house, was  
25 told that the house had flooded before, that it had had

1 up to two feet the water in the living room on prior  
2 occasions. And you'll hear similar testimony from other  
3 residents, all showing, as you might expect, that if you  
4 live in the flood plain you can expect to be flooded.

5 Now, the plaintiffs in this case complain and  
6 they allege that the floods are higher than they were  
7 before. And the evidence, testimony and evidence in  
8 this case is going to show you that there are a number  
9 of reasons for that, but that the most significant  
10 reason is that there simply was more water. The factors  
11 which combine to cause a flood or make a large flood  
12 are, more than anything else, functions of nature. You  
13 have snow melt-off, you have warm temperatures, you have  
14 rain that previously saturated the ground, you have high  
15 tides, and when all of these things come together they  
16 cause a large flood event, and that's what occurred in  
17 November of 1990. And, in fact, the flood in November  
18 of 1990 was the largest flood as you can see, November  
19 11th of 1990, the flood at that point in time was  
20 142,000 cubic feet per second measured at the Mount  
21 Vernon discharge point, gauging station.

22 If you look at February 11th of 1951, the  
23 discharge was 144,000. The flood in November of 1990  
24 was the largest flood measured at Mount Vernon since the  
25 flood of 1951.

1                    Now, the significance of this, there's two  
2 things. First of all, let me refer to the fact that  
3 also if you look at the flood elevation in 1990 at Mount  
4 Vernon, and that's measuring the flood elevation of the  
5 river, how high is the river at Mount Vernon, I think  
6 you can see that in 1990 it was at 36.6. If you refer  
7 back to 1985 when the flood -- or 1951 when the flood  
8 was 144,000 feet per cubic second, 2,000 cubic feet per  
9 second more than it was in 1990, the flood elevation is  
10 36.85. Those numbers are fairly consistent. And what  
11 that establishes is that when the flood comes down the  
12 river, that the flood flow was the same in 1990 as it  
13 was in 1951, has roughly the same flood elevation in  
14 Mount Vernon, and consequently we expect the same type  
15 of flooding.

16                    One of the reasons that there was no significant  
17 -- that there was this long a period of time from 1951  
18 to 1990 between large flood events of this type is that  
19 in 1959, the dams on the upper Skagit River were  
20 completed. The Upper Baker Dam and the Ross Reservoir,  
21 the dams were completed up there. And those dams are  
22 significant because the Army Corps of Engineers uses  
23 those for flood storage, and the evidence in this case  
24 will show that after the 1990 flood in 1991, the Corps  
25 of Engineers, in a document called the Flood Summary

1 Report on the Nookachamps and Skagit and Snohomish River  
2 Basins, did a study, and part of their study was to  
3 determine what effect the flood storage that the  
4 up-river dams had on the flood downstream, and the Army  
5 Corps of Engineers concluded that as a result of the  
6 water that they were able to withhold from the river,  
7 from the flood during the flood event, resulted in the  
8 flood level being three feet lower at Mount Vernon than  
9 it would have otherwise. That is if the dams had not  
10 been there and they had not had that storage capacity,  
11 the flood levels would have been at 39 feet in Mount  
12 Vernon instead of at 36 feet. Consequently, the flood  
13 elevations on the plaintiffs' property would have been  
14 higher.

15 The reason that's significant is for two  
16 reasons. One is that is partly responsible for the fact  
17 that there were no large floods or that that resulted in  
18 a diminishment of the flood sizes between 1959 and 1990,  
19 leading to what Mr. Smart referred to as a sense of  
20 security on behalf of people that the valley wouldn't  
21 flood. The other is that that compensates, if you take  
22 out the levees as the plaintiffs allege, and you attempt  
23 to remove the levees and pretend like they're not there,  
24 then you also have to remove the up-river storage by the  
25 dams. And when you do that, you end up with roughly the

1 same situation in the 1990 flood whether the dams and  
2 the levees are there or whether they're not. The flood  
3 levels are going to be roughly equivalent, so the  
4 evidence in this case is going to show that these  
5 plaintiffs could expect to be flooded, that they could  
6 expect to be flooded, and that, in fact, they could  
7 expect to be flooded to even levels worse than this  
8 because they live in the hundred year flood plain. And  
9 this wasn't a hundred year flood event, and when you  
10 move into the hundred year flood plain, if you know that  
11 you can be flooded and you know that you're subject to  
12 the 100 year event, then you know that you can be  
13 subject to a flooding that is worse than this.

14 Because of this flooding people have been  
15 building dikes on the river since the 1800s, as counsel  
16 mentioned to you. As they both have mentioned to you,  
17 the first dikes were built by farmers, and they were  
18 primarily dikes that were intended to protect the crops  
19 from the summer floods. They were mounds, as counsel  
20 describes. They were small and they met with limited  
21 success.

22 In the 1890s, legislature passed a statute which  
23 authorized the formation of dike districts. That's been  
24 referred to, too. Dike districts are not state  
25 agencies, and I don't want you to be misled. They're

1 independent governmental entities. They're like school  
2 districts, they're just like fire districts, they're  
3 just like cities, they're just like towns. The  
4 legislature passed a statute authorizing their  
5 formation. That doesn't mean they're a state agency.  
6 Dike districts are independent governmental entities.  
7 They have the power to tax. They have the power to  
8 condemn land. They have the power to buy land, to sell  
9 land, to lease land, to enter into contracts, to sue and  
10 be sued, all the same things that any other governmental  
11 entity has, and these dike districts exist for the sole  
12 purpose of constructing and maintaining dikes. And, in  
13 fact, as counsel pointed out, the dike districts have  
14 the sole responsibility for the sole charge of dike  
15 construction and maintenance in their dike districts,  
16 and these commissioners that are elected by the members  
17 of that dike district are responsible to the members of  
18 the dike district and their duty is to insure that the  
19 dikes are constructed and maintained in order to protect  
20 the people behind them.

21 The independence, as I referred to, that the dike  
22 district has the exclusive responsibility, exclusive  
23 charge for the construction, has long been respected by  
24 everybody, including the State of Washington.

25 I want to show you an excerpt from the same

1 letter that Mr. Smart showed you to Mr. B. J. Bournes,  
2 referring to the dike relocation on Dike District 12 in  
3 1955, and if you read -- let's see if I can get this all  
4 on here. I don't know if everybody on there can read  
5 it, but what this letter says, after approving the dike  
6 district's project, after agreeing to grant them a  
7 permit, which I'll discuss in a minute, Mr. Hastings,  
8 the State Supervisor of Flood Control, stated, "The  
9 extension program now in progress is in direct  
10 conformance with district law and the district's  
11 ultimate comprehensive plan for flood control within  
12 their financial ability to carry out such a program.  
13 Neither this office nor any other authority can  
14 interfere with the affairs of the district as are deemed  
15 feasible and advisable by the diking commissioners who  
16 represent that district."

17 So, in 1955, Greg Hastings is telling Mr.  
18 Bournes, who is complaining about this extension, that  
19 you can write a letter to me and you can complain but  
20 there's nothing I can do about it because if the diking  
21 district is conforming with the law and they're doing  
22 what they can, then I can't interfere with them.

23 Pursuant to the statutes I've referred to, as  
24 you've already heard, Dike District 12 and Dike District  
25 17 were formed in the early 1990s. These dike



1 districts, Dike District 17, is on what I would call the  
2 south side of the river. I think of it as you're  
3 driving up I-5, you get there and look upstream towards  
4 the Cascades, Dike District 17 is on the south side of  
5 the river, Dike District 12 is on the north side of the  
6 river. These two dike districts constructed the dikes  
7 that are there today. They maintained the dikes.  
8 They've operated the dikes and they've done everything  
9 in regard to the dikes. They've constructed them,  
10 operated them and maintained them since that time.

11 Evidence will show that to this day the dike  
12 districts continue to make all major decisions about the  
13 dikes. They decide what repairs to do, they decide  
14 whether to raise the levees, they decide whether to  
15 riprap them, whether to put roads on top of them.  
16 They're their dikes and they decide what they want to do  
17 with them and nobody else interferes in that.

18 Along that line that will be the testimony of the  
19 dike districts commissioners that come in here. That  
20 hasn't been mentioned to you, but there are dike  
21 district commissioners like Pete Walker who is a  
22 commissioner of Dike District 12 from sometime in the  
23 1950's 'til I think in the mid 1970's, I can't recall, a  
24 long time. Gerald Mapes, another dike district  
25 commissioner from Dike District 12 for a long period of

1 time both will come in here and tell you that decisions  
2 that are made as to the construction, maintenance and  
3 operation of these levees are made independently by the  
4 dike districts free of any influence from the state,  
5 free of any influence from the county, free from any  
6 requirement. They will both tell you that they are not  
7 aware of any requirement by the State that they  
8 construct or maintain levees. State witnesses will tell  
9 you the same thing. We haven't gone out and we haven't  
10 told anybody that they have to construct or maintain  
11 levees.

12 Now, the reason these levees are constructed and  
13 the reason that these people act in the fashion that  
14 they do, and I'm referring to the dike district  
15 commissioners, is because the whole purpose of the dike  
16 is to protect the people that are behind the dikes. The  
17 people that the dike district commissioners tax and the  
18 people that elect the dike district commissioner,  
19 that's their constituency. That's who they're  
20 responsible to. Their interest is to maintain that dike  
21 and that's why they do it.

22 Now, what is the State's role in all of this? Up  
23 until 1935 the State had no role in flood control. They  
24 had no role whatsoever. They didn't build dikes, didn't  
25 regulate dikes, didn't do anything, and up until that

1 time, so what we had prior to that was all these dike  
2 districts, independent people, private citizens out  
3 there building dikes, and the evidence in this case will  
4 show that the result of that was you had a lot of levees  
5 being built that were improperly constructed, that  
6 weren't safe, that were put in improper locations on the  
7 river and, in essence, you had a lot of levees being  
8 built that caused more harm than good, either because  
9 they caused flooding where they shouldn't. They didn't  
10 make sense because you had a levee here and a levee here  
11 and no levee in between, or you had levees that were  
12 failing during even minor floods.

13 And in 1935 the legislature looked at this, and  
14 in the exercise of its wisdom, the legislature said we  
15 need to regulate this. If people are going to be out  
16 there building these levees and representing that  
17 they're going to protect the public, then we need to  
18 regulate it and we need to insure that that is done in a  
19 workmanlike fashion, that sound engineering principles  
20 are used so that we can insure the public safety and  
21 health, so that we don't have dikes that fail every time  
22 there's a flood, so we don't have dikes that are being  
23 built in a position where they cause more harm than  
24 good, and the legislature passed the act that Mr. Smart  
25 referred to earlier. And that act gave the State

1 regulatory control over all navigable waters. It didn't  
2 give the State control over the flood control  
3 stretches. It didn't remove the dike districts and put  
4 the State into their position, it didn't put the State  
5 into the position of developing a plan or having a  
6 policy of what flood control would be. It didn't give  
7 the State the right to impose upon the local government  
8 what they wanted -- what the State's will would be as  
9 opposed to what the local government will would be when  
10 it came to flood control. And what it gave the State  
11 the right to do was to have essentially what amounts to  
12 building -- or construction standards, and it gave the  
13 State the authority to reject applications to construct  
14 levees if they, number one, didn't meet sound  
15 engineering principles, weren't built safely or weren't  
16 planned to be constructed in a safe manner and, number  
17 two, if they were going to be put in such a position  
18 that they caused more harm than good by increasing the  
19 flooding in other places.

20 Now, these same rules that were passed by the  
21 legislature in 1935 applied to all construction on a  
22 navigable water. They applied to the construction of  
23 levees, they applied to the construction of bridges,  
24 they applied to the construction of dams and they  
25 applied to both private and public parties. Didn't

1 matter whether it was Dike District No. 12, Skagit  
2 County, the State of Washington, Department of  
3 Transportation or Boise Cascade or some other private  
4 individual. It didn't matter if it was Joe Smith that  
5 wanted to build a levee. Everybody had to conform to  
6 those same regulations, and the way that this was  
7 administered was that you filled out an application. If  
8 you wanted to build a levee, if you wanted to engage in  
9 some type of other major reconstruction, as Dike  
10 District 12 did in 1955 when they relocated their levee,  
11 you filled out an application. And not surprisingly  
12 when these dike districts filled out these applications,  
13 and this is one again that Mr. Smart showed you earlier,  
14 they sought -- the dike districts or whoever, somebody  
15 building a dam, and, interestingly, you'll see evidence  
16 in this case if you wanted to construct a home in the  
17 flood zone you had to get one of these permits, and this  
18 kind of makes the point.

19 These people, when they sought the permits, they  
20 sought them in perpetuity because they wanted the right  
21 to construct the dike and leave it there. They didn't  
22 want to come back to the State and ask can I have a  
23 permit to keep my levee here, and we can all agree that  
24 that would be a bureaucratic nightmare if you had to  
25 come back and get a permit to maintain your home, so

1 these people applied for the permits in perpetuity.

2 As was the case in 1955 when Dike District No. 12  
3 rye located their dike, if they met the standards, if  
4 the levee met sound engineering standards and the levee  
5 was not going to increase flooding or alter the regime  
6 of the stream, the State was required to issue a  
7 permit. That is what we would all expect. If you're  
8 going to have regulatory requirements, if you have a  
9 building code and if you think of it like a building  
10 code, if you meet the standards the State can't say to  
11 you or the county or whoever issuing the building code,  
12 they can't say to you, no, I'm not going to give you a  
13 permit, they've got to be able to tell you you didn't  
14 meet the standards, but in the cases where they did, the  
15 permits were issued and they were issued in perpetuity,  
16 because that's what was asked for.

17 And something that's interesting about these  
18 permits is if you turn them over on the back, and every  
19 one of them has this language, and this is really small  
20 so I don't know if you'll be able to see it, these  
21 permits state that no property rights are granted  
22 herein, nor does this permit absolve permittee from  
23 liability for any damages which may be suffered to life  
24 or to -- to life or to property, public or private, by  
25 reason of works, structures and improvements authorized

1 hereunder. So when the State is issuing this permit, the  
2 State is telling Dike District 12 or the individual  
3 building the dam or the individual building their house  
4 that they're not being relieved of any liability, that  
5 the State's not accepting that responsibility. All the  
6 State is doing is saying you met the regulatory  
7 requirements so you can do what you want to do. That's  
8 what the permit system is about.

9 Now, one comment I wanted to make about that, Mr.  
10 Smart made the comment that when this permit was issued  
11 that this certified that the levee or whatever other  
12 flood control structure was in compliance with the State  
13 policy on flood control. Now, when the legislature  
14 mentioned the State policy on flood control, they didn't  
15 come out, and there's going to be no evidence in this  
16 case of any policy or any plan indicating that people  
17 should or should not build levees, where they should be  
18 built or anything of that nature and, in fact, the  
19 permit does not indicate compliance with the state  
20 policy on flood control. What the testimony in this  
21 case will be is that the permit indicates that the  
22 person met the regulatory requirements and they were  
23 entitled to receive the permit.

24 Now, the State had another role which has been  
25 mentioned, and that is that over the years the State's

1 administered the FCAAP program, and that's the Flood  
2 Control Assistance Account Program, and that is a  
3 program whereby the State has made available funds,  
4 assuming the legislature appropriates them, and in some  
5 years you will hear testimony the legislature has not  
6 appropriated money for these purposes, but over the  
7 years when the legislature has appropriated these funds,  
8 the State has money which they make available to the  
9 local government to construct and maintain these type of  
10 facilities.

11 These funds are available on a voluntary basis.  
12 Nobody's required to apply for them, nobody's compelled  
13 to apply for them, but they're available to cities,  
14 towns, counties, dike districts, drainage districts, any  
15 entity that maintains some type of flood control  
16 structure, whether it be a levee, whether it be tide  
17 gates, pump houses, things like that. This money is  
18 available to them and they apply for the money. It's a  
19 grant program.

20 Essentially what the State is doing is giving  
21 away money to the locals, and they do it based on  
22 applications received from the local government. The  
23 projects, the Dike District Commissioners in this case,  
24 even on their FCAAP projects, they're initiated at the  
25 local level. They decide what they want to do on the



1 levees each year and then they decide which of their  
2 projects are important enough to them that they want to  
3 try and apply for State grants, because this state fund  
4 covers the whole state and it's competitive, and I'll  
5 tell you there's not a lot of money.

6 Mr. D'Acci will tell you when he testifies that  
7 the applications for these funds far exceed the  
8 available funds, and so it's competitive, and so the  
9 dike districts make their decisions about which projects  
10 they want to apply for. Some of them they receive money  
11 for, some of them they don't. Funding for each project  
12 is limited to 50 percent from the State, and the  
13 testimony you will hear is that a lot of the projects  
14 don't receive funding from the FCAAP program, either  
15 because they don't apply or because the project  
16 application is denied because there's not money, there's  
17 other priorities that are more important, because what  
18 happens is these are funneled up through the county.  
19 The applications are submitted to the county and the  
20 county engineer prioritizes them on a county-wide basis  
21 and then they go up to the State and the State looks at  
22 it and prioritizes them on a state-wide basis, and it's  
23 an allocation of limited funds and you try and decide  
24 what is the best way to spend the money, and the  
25 evidence will show that a lot of projects are done by

1 the dike district without any speciousness by the State  
2 because money's not available.

3 Evidence will also show that when the State  
4 participates, it acquires no ownership interest and  
5 there's no requirement that the levees continue to be  
6 maintained. Maybe there should be but there's not.

7 And this is in contrast to a program that you'll  
8 hear about called PL99, and PL99 is a program  
9 administered by the federal government, by the Corps of  
10 Engineers, and it's a similar grant type of program  
11 except it's a little more direct. If your levee breaks  
12 in a flood, which they frequently do, or if there's  
13 severe damage to it, the dike districts can apply to the  
14 Corps of Engineers to have the Corps of Engineers come  
15 in and repair the levee, and the Corps of Engineers will  
16 come in and do that on a participating basis, where they  
17 will provide the work and they will provide the majority  
18 of the funding and they will take care of your problem  
19 for you, and the evidence in this case will show that  
20 the dike districts, in particular Dike District 12 and  
21 Dike District 17 in this case, have, on several  
22 occasions, sought the assistance and received the  
23 assistance of the Corps of Engineers under PL99. As a  
24 condition of receiving PL99 funds, the local sponsors  
25 have to agree, the dike districts, have to agree to

1 maintain the dikes to Army Corps of Engineers standards,  
2 and they have to agree to that before they receive the  
3 funds, and if after they receive the funds they do not  
4 maintain the dikes to Army Corps of Engineers standards,  
5 the Army Corps of Engineers position is they're not  
6 eligible to apply for PL99 funds anymore, and that's  
7 pretty significant and pretty important to these dike  
8 districts because when their dikes get damaged in  
9 floods, they need help in maintaining them and the Corps  
10 of Engineers is the number one group to assist them.

11 Now, one other thing that you'll hear is called  
12 flood plain management, and the State has been involved  
13 in flood plain management and now it's involved under  
14 the National Flood Insurance Program, and flood plain  
15 management is kind of a fancy euphemism for regulating  
16 development in the flood plain. Under the National  
17 Flood Insurance Program, local government, if they  
18 regulate development in the flood plain and qualify, the  
19 whole county, so, for example Skagit County, if they  
20 regulate development in the flood plain, can qualify  
21 under the National Flood Insurance Program for reduced  
22 rates of insurance, and they qualify for insurance so  
23 that residents in the flood plain can purchase flood  
24 insurance at reduced rates, and the requirements of that  
25 program are that the county adopt an ordinance and that

1 the State is the kind of coordinating agency between the  
2 federal government and the county on that. The county  
3 adopts an ordinance which limits development, basically  
4 either prohibiting development in the hundred year flood  
5 plain or conditioning it so that buildings are  
6 constructed to be above the hundred year flood plain.

7 The National Flood Insurance Program and the  
8 flood plain management has nothing to do with the  
9 maintenance of levees. There may be some suggestion  
10 made in this case, there may be some inferences made in  
11 this case that it has something to do with levee  
12 maintenance, but when you get right down to it, it has  
13 absolutely nothing to do with levee maintenance because  
14 when they map the hundred year flood plain, and you'll  
15 see this, you've seen it already, the hundred year flood  
16 plain goes around the levees, and the federal government  
17 says unless you have a levee that's certified to  
18 withstand a hundred year flood, which one none of the  
19 levees in Skagit County are certified to, the federal  
20 government acts as if they are not there in mapping the  
21 hundred year flood plain, and they treat a house that's  
22 on the protected side of the levee the same as the house  
23 on the unprotected side of the levee is treated in terms  
24 of insurance rates and mapping and all of the  
25 requirements that they apply. The county has to

1 regulate development the same inside the levee as  
2 outside the levee, and so it has nothing to do with  
3 levee maintenance.

4 Those are the primary functions performed by the  
5 State, or historically performed. I should mention, and  
6 I missed this point. The State no longer issue flood  
7 control zone permits, the permits I showed you earlier.  
8 The State stopped issuing those in Skagit County in 1981  
9 because Skagit County requested that be delegated to  
10 them and the flood permit program was abolished in 1987  
11 by the legislature, so those are what the State does.

12 The evidence in this case -- there's going to be  
13 no evidence that the State owns these levees, that the  
14 State construct these levees, that the State maintains  
15 these levees or that the State required that they be  
16 built or maintained. There's going to be absolutely no  
17 evidence about that. There's also not going to be any  
18 evidence of any grand state plan or any state policy  
19 where it is set forth and dictated to the local  
20 government what they're going to do. The State has  
21 never taken that position. The State has never gone up  
22 to Skagit County and told Skagit County to build  
23 levees.

24 Now, the last thing I want to talk about is the  
25 county and what the county does, and you've already

1 heard some of this but since 1907, so even before the  
2 State had any authority in this area, the county has had  
3 authority to engage in flood control if they so  
4 desired. In this particular case they don't own any of  
5 the levees and they didn't construct any of the levees.  
6 You've already heard Mr. Smart talk about the programs  
7 that they administer. They administer the local county  
8 river fund, they administer a rock riprap fund where  
9 they provide riprap to the dike districts. Those  
10 programs are administered by choice by Skagit County,  
11 and that's an important point. Those are voluntary  
12 programs of the county. They're not required by the  
13 state and you're not going to hear any testimony that  
14 they're required by the state.

15 The county has also, on several occasions,  
16 numerous occasions provided assistance of various forms  
17 to the diking district. A lot of this is based on  
18 intergovernmental agreements where they agree to provide  
19 some service to the diking district and the diking  
20 district agrees to reimburse the county for this  
21 service. Those services are provided on a voluntary  
22 basis. That's a decision of the Skagit County  
23 Commissioners or the Skagit County government as to  
24 whether to provide that or not. It's not required by  
25 state law. It's not required by any state employee

1 going up and telling Skagit County that they've got to  
2 do that.

3 The one instance that there is some requirement  
4 is under the FCAAP program, and the county engineers, as  
5 I've already indicated to you, are required to  
6 prioritize projects on a county-wide basis. They're  
7 also asked to supervise the construction and to inspect  
8 it. They don't initiate the programs. They're not  
9 required to initiate the projects. They're not required  
10 to participate in the projects. They're required  
11 basically to be the State's ears and eyes on the ground  
12 to make sure that the project, if the State puts money  
13 into it, make sure that the project's done properly and  
14 to make sure when the project is done, that the money  
15 has been spent for what it's been requested, and that's  
16 not a real complicated proposition.

17 The State sends an inspector out there to do the  
18 same thing. If Dike District 12 applies for \$20,000 to  
19 repair some aspect of their -- some damage to their  
20 levee, then they're required to submit plans. The plans  
21 have to be approved to insure that it makes sense to  
22 spend the money, and then after the project is done,  
23 somebody wants to go look at it to make sure it was done  
24 the way it should have been done and to make sure that  
25 the money was spent for what it was intended to be

1           spent.

2           The county has also, over the years, developed a  
3           number of plans to in, essence assist, the dike  
4           districts or guide the dike districts in what they do.  
5           These plans are primarily the result of work of  
6           committees established by the county commissioners. For  
7           example, as early as 1968 the County Commissioners  
8           appointed a Water Resources Advisory Committee and the  
9           charge to the Water Resources Advisory Committee was to  
10          develop a comprehensive plan for flood control on the  
11          Skagit River. That committee recommended a plan which  
12          included as an aspect of it the lower levee system,  
13          which refers to the area that we are talking about, and  
14          the plan referred to these levees would be raised  
15          pursuant to the plan developed by the Corps of  
16          Engineers, authorized by Congress and the 1966 Flood  
17          Control Act. That plan was eventually adopted by the  
18          county.

19          In 1980 another committee was appointed, the  
20          Skagit River Flood Control Committee was established,  
21          and -- this is a document that will be entered into  
22          evidence. It's a County Commissioners' resolution  
23          establishing that committee, and that committee -- the  
24          charge again to that committee was to develop a  
25          comprehensive plan for flood control on the Skagit River



1 and again, a plan was recommended.

2 In 1989, finally in 1989 the county adopted the  
3 Comprehensive Flood Control Management Plan. That plan  
4 undoubtedly will come into evidence and you will see  
5 that plan. That plan was funded in part by the state  
6 through the FCAAP program and the county adopted it  
7 pursuant to the FCAAP program. Under FCAAP there is a  
8 requirement that for the continued participation in the  
9 FCAAP program, there has to be a comprehensive plan.  
10 And that's part of the State's response to the fact that  
11 money was going out to the counties and was being spent,  
12 for lack of a better term, willy-nilly and the State  
13 wasn't necessarily getting the best deal for its money  
14 and, as most governments learn, the best way if you're  
15 going to start giving money away is to require the local  
16 government to have a plan before you give the money to  
17 them, and so in response to that need, the State put in  
18 the FCAAP program a requirement that in order to remain  
19 eligible the counties had to adopt comprehensive flood  
20 control management plans.

21 The testimony in this case, first of all, if you  
22 didn't want to adopt a plan you didn't have to. Alls  
23 that meant was you didn't get FCAAP funds. Second of  
24 all, the testimony in this case will establish that what  
25 went into the plan was the decision of the county or the

1 people developing the plan. The State didn't dictate  
2 what the plan was. The State said that the plan had to  
3 consider certain alternatives but that the selection of  
4 the plan was up to the local government. That's what  
5 the evidence in this case will show, and the evidence in  
6 this case will show that Skagit County, consistent with  
7 their prior plans, and there will be plenty of testimony  
8 about that, adopted a plan which included levee  
9 maintenance, and what's interesting about this plan is  
10 that the plan lists as one of its goal here on the  
11 executive summary "maintain local control of flood  
12 control works." And if you look on page -- chapter  
13 four, which is where the goals and objectives for area  
14 of coverage are included, short-term goal number four,  
15 or number five on page -- short-term goal number five  
16 is to maintain local control of flood control works.

17 And that's exactly what I've been talking about  
18 is what local government wants is to maintain local  
19 control. They don't want things to be dictated to them  
20 by somebody upstream, and that's what the county  
21 engineer, the flood control engineer Don Nelson, who was  
22 the flood control engineer at the time that this was  
23 adopted in 1989, will tell you in his testimony. He was  
24 the flood control engineer from 1975 until he retired in  
25 1991, and he will tell you that this referred to the

1 diking districts wanting to maintain control. They  
2 didn't want somebody further upstream taking over their  
3 responsibilities and telling them what to do. They  
4 wanted it to remain the way it had always been.

5 Another thing, and as I'm getting close to the  
6 end here, another thing that's interesting that Don  
7 Nelson will tell you to you is that the State -- that  
8 there's nothing that the State does that requires the  
9 county to maintain the levees. His testimony is  
10 consistent with what he said in his deposition  
11 testimony, his prior testimony. He will testify in this  
12 Court that the county is not required by the State nor  
13 does there exist any State requirement that requires the  
14 county to do anything in regard to the levees. Remember  
15 that I mentioned earlier that the dike district  
16 commissioners will testify along those same lines, that  
17 they act independently and they don't act in response to  
18 any State requirement, and that's important because what  
19 the county is claiming and what the county, in terms of  
20 the State is that the county is required to do the  
21 things which the plaintiffs allege caused their damages,  
22 and the evidence is not going to support that.

23 Now, I want to thank you for listening to me.  
24 This is going to be a long trial. Some of us wish it  
25 wouldn't be, but there's a lot of evidence that's going

1 to be put in. Because of the order of the case, as I've  
2 discussed, because of the burdens of proof, it may be a  
3 long time before you see me again. When we get to the  
4 end there may not be any evidence left for me to  
5 produce. All the witnesses may be called, all the  
6 witnesses may testify and I may have to elicit testimony  
7 from them during the plaintiffs' case or during the  
8 county's case, and so it very well may happen that at  
9 the end of the case I'll stand up and say, "We don't  
10 have any witnesses to present, Your Honor."

11 I don't want you to think if that happens or, as  
12 we go through the case, I don't want you to think that  
13 the State doesn't have a case, because the State does  
14 have a case. The State's case is that these people at  
15 the local level, the diking districts, made their  
16 decisions and they chose their destiny. It wasn't  
17 dictated to them by the State. The fact that we don't  
18 call any witnesses at the end of the case doesn't change  
19 that, and I'm going to have to rely on you to listen to  
20 the evidence throughout the case, to listen to that, to  
21 recall that evidence, because it may not come in all in  
22 a nice little package like perhaps the plaintiffs' case  
23 is going to be. My case is probably going to be spread  
24 out all over time but I want you to recall this theme,  
25 and at the end I think the evidence -- you'll agree that

1 if there is a verdict against anyone, it should not be  
2 against the State of Washington.

3 Thank you.

4 THE COURT: Thank you, Mr. Anderson.

5 THE COURT: Counsel.

6 MR. HAGENS: Call Mr. Mr. Regan.

7 THE COURT: All right, sir, if you'd raise your  
8 right hand.

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9 RICHARD P. REGAN called in behalf of the  
plaintiff, being first duly  
10 sworn, testified as follows:

11

12 DIRECT EXAMINATION

13 BY MR. HAGENS:.

14 Q Would you state your name and address, and please spell  
15 your name, if you would?

16 A My name is Richard P. Regan, R-e-g-a-n. I live at 26050  
17 Southeast 159th Place, Issaquah, Washington.

18 Q By whom are you employed currently?

19 A I'm employed by Northwest Hydraulic Consultants, which  
20 our office is in Tukwila, Washington.

21 Q What capacity are you employed?

22 A I'm senior hydraulic engineer with the firm.

23 Q Who do you report to?

24 A I report to a fellow by the name of Mr. Jerry Mutter.

25 Q What is his capacity?

1 A He is the principal of the firm and senior owner.

2 Q Okay. Now, let's talk about your profession, hydraulic  
3 engineer. Are you licensed as such in the State of  
4 Washington?

5 A Yes, I'm a licensed professional hydraulic and civil  
6 engineer in the State of Washington. My certificate  
7 number is 10210.

8 Q And what kind of training, if any, do you have to have  
9 to be a licensed civil engineer and hydraulic engineer?

10 A My training started out in Wentworth Institute in  
11 Boston, Massachusetts. I started in mechanical  
12 engineering, which is before I went into the Air Force.  
13 After leaving the Air Force I went to the University of  
14 Washington, studied civil engineering. Subsequent to  
15 the University of Washington, I went to Colorado State  
16 University and the Corps of Engineers Hydroelectric  
17 Engineering Center and studied additional hydraulic  
18 engineering.

19 Q What did you do after going to Colorado State?

20 A The additional courses I took at Colorado State during  
21 the time I was employed by the U.S. Army Corps of  
22 Engineers. My employment with the Corps of Engineers  
23 started in 1961 and terminated at my retirement in  
24 1987. I spent 27 years with the Corps of Engineers as a  
25 hydraulic engineer, and the last ten years was Chief of

1           Hydraulic Engineering.

2           Q    What did you do as Chief of Hydraulic Engineering your  
3           last ten years with the Army Corps of Engineers?

4           A    Okay. My principal assignment during that period of  
5           time was I was in charge -- during that time as Chief of  
6           Hydraulic Engineering, my assignment was to -- I was in  
7           charge of all hydraulic engineering done within the  
8           Seattle District.

9           Q    And that was during what period of time?

10          A    That was from about 1978 to 1988. At that time I had as  
11          many as eight or ten employees and other times it was  
12          down like four or five, depending upon the work load.

13          Q    Were those engineers as well?

14          A    They were all engineers -- no, they weren't. There was  
15          one technician. All the rest were engineers.

16          Q    Did you retire from the Corps, Mr. Regan?

17          A    Yes, I retired -- I had -- with my military time I had  
18          over 30 years of time with the federal government, and I  
19          retired and went to work -- after I retired I went to a  
20          firm name of Klohn, Leonoff, principally a Canadian  
21          company that had a small office in the Seattle area. I  
22          worked at that firm between 1988 and 1991. In October,  
23          I believe it was of 1991, I went to work in the -- in my  
24          present capacity as senior engineer, Northwest Hydraulic  
25          Consultants.

1 Q Have you ever taught dam design or anything of that  
2 nature?

3 A Yes, I've had a number of experiences. I've lectured at  
4 more than five different courses at the Corps of  
5 Engineers Training Center in Pittsburgh, Mississippi.  
6 During my tenure with the Corps of Engineers I was a  
7 member of the Corps of Engineers Channel Stabilization  
8 Committee. I was on that committee for ten years. That  
9 committee looked at -- it was a committee of about ten,  
10 twelve people, and all engineers that looked at most  
11 serious problems that the Corps of Engineers had  
12 throughout the United States. We looked at two or three  
13 different projects, problems every year.

14 Also during my time with the Corps of Engineers I  
15 was a -- I was a member of a team that went to the  
16 People's Republic of China in 1980. That trip to China,  
17 we were there for two reasons. One to assist the  
18 Chinese engineers with a very large dam that they were  
19 proposing to build. Another purpose of the trip was a  
20 -- to teach, to provide technical transfer of all types  
21 of -- all dam design. Each person on the team was an  
22 expert in certain phases of dam design. My phase was  
23 hydraulic engineering.

24 Q And have you also served as a consultant with the Corps  
25 of Engineers in connection with the Mount Penatubo



1 eruption?

2 A Yes.

3 Q Tell us what your experience was.

4 A During my time right after I went to work for Northwest  
5 Hydraulics, the Corps of Engineers of the Portland  
6 District hired me as a consultant to a team that they  
7 sent to the Philippines to look at the river channel and  
8 sedimentation problems that had arose from the Mount  
9 Penatubo eruption.

10 Q All right. So you went to the Philippines then; is that  
11 right?

12 A Yes, I spent two or three weeks, I believe, over in the  
13 Philippines, and worked afterwards in office work after  
14 we came back.

15 Q Who typically hires your services or the services of  
16 your company?

17 A Okay. Our company is hired by all different types of  
18 clients. We have quite a bit of work going for the  
19 Corps of Engineers. We have quite a bit of work going  
20 for the State of Washington. We are hired by county  
21 governments, city government. We also have some private  
22 clients.

23 Q Okay. What is the sorts of things that you as a  
24 hydraulic engineer do, Mr. Regan?

25 A Okay. My work is in water hydraulics as opposed to

1 machinery hydraulics, water hydraulics having to do with  
2 anything having to do with rivers, river run-off, the  
3 sedimentation in the rivers, erosion. Basically that  
4 probably covers everything. I probably could think of a  
5 few more if I was more serious about it.

6 Q Have you had any experience on actual dam projects as  
7 such?

8 A Yes, I have been a designer on a number of dam  
9 projects. The largest one that I have worked on was the  
10 Libby Dam which was designed in the late sixties and  
11 early seventies, and proceeded into the mid-seventies  
12 really. Libby is a dam in northwestern Montana. It's a  
13 450 foot high concrete gravity dam and it's basically  
14 half a mile across the top. It stores water in the  
15 reservoir, as I remember, five million acre field of  
16 water, and backs water up for about 90 miles upstream  
17 from the dam.

18 I've worked on modifications to the Chief Joseph  
19 Dam on the Columbia River. During that time we designed  
20 a complete rebuild of the spillway, and raising of the  
21 dam. I worked on the Lower Monumental Project on the  
22 Snake River. Worked -- I did all the hydraulic design  
23 on the Willapa River outside Grays Harbor. And  
24 presently I've been working with the Portland District  
25 on their four dams on the lower Columbia River,

1           Bonneville, The Dalles, John Day and -- yeah, and  
2           McNeary.

3       Q     Okay. Do you have any familiarity with the Skagit River?  
4       A     Yes. Yes.

5       Q     Would you tell the jury what that familiarity is?  
6       A     I'm quite familiar with the Skagit River. During my  
7           tenure with the Corps, I was hydraulic engineer in  
8           charge as being -- of the design team of the Corps of  
9           Engineers project that came up in 1979 for raising and  
10          strengthening the levees on the Skagit River.

11                 Prior to that I had been involved in the Skagit  
12           River to some extent during flood fight activities, and  
13           subsequent to that I got myself abreast on what was  
14           going on in the Skagit River for my own edification.

15       Q     Okay. Have you had any work in the Skagit River in  
16           connection with any activities that FEMA has been  
17           involved with?  
18       A     Yes. During my time with the Corps we did some work for  
19           FEMA, Federal Emergency Management Agency. We did look  
20           at flooding in the -- basically from Sedro Wooley  
21           downstream, and I believe we did some flood studies  
22           upstream to Concrete.

23       Q     Okay. And how were those flood studies used, Mr. Regan?  
24       A     They were to be used with the flood insurance program,  
25           to develop rate maps and to develop maps that will

1           assist the regulatory people, the county or cities as to  
2           where buildings could be built and how high above --  
3           where the flood profile would be in various agency areas  
4           so you would build the houses high enough.

5       Q     Can you tell the jury during what period of time you did  
6           this FEMA work.

7       A     I can't really remember. It had to be after 1979.

8       Q     Let's talk a little bit about general data and  
9           definitions. Does the Skagit River drain a large area?

10      A     Yes. Skagit River drains, if you're at Mount Vernon,  
11           say the highway, upstream from highway I-5 bridges,  
12           about 3,050 square miles of drainage.

13      Q     Do we have a map with us that shows the actual drainage  
14           area of the Skagit River?

15      A     Yes, I do.

16      Q     Where did you obtain this map?

17      A     That map is a reproduction, a blowup of an exhibit that  
18           is in the Corps of Engineers July, 1979, General Design  
19           memo, Skagit River, Washington, General Design  
20           Memorandum of Levee Improvements.

21      Q     Was that the project you were chief engineer on?

22      A     I was chief hydraulic engineer on that project, yes.

23                   MR. HAGENS: We would offer Exhibit No. 197 at  
24           this time, Your Honor.

25                   THE COURT: Counsel.

1 MR. SMART: No objection, Your Honor.

2 MR. ANDERSON: No objection, Your Honor.

3 THE COURT: 197 will enter.

4 MR. HAGENS: Your Honor, I don't know how this  
5 thing works.

6 Your Honor, may the witness just approach the  
7 board?

8 THE COURT: Again, as I say before, if you'll  
9 have him testify from your side, therefore keeping the  
10 line of sight open with the Court Reporter as much as  
11 possible.

12 Q Sure. Mr. Regan, I wonder if you just describe  
13 essentially what this exhibit is and what it depicts.

14 A This is a map basically of the Skagit River drainage  
15 area which is outlined in blue. Also on this sheet is a  
16 small inset at the upper right-hand corner of the State  
17 of Washington, showing the Skagit drainage basin shaded  
18 in yellow.

19 Q And the red or lettering you put in here are what?

20 A Now, I've outlined the Skagit Basin in blue, which at  
21 the Mount Vernon gauge the U.S. Geological Survey says  
22 it's about 3,050 square miles of drain upstream from  
23 that. The basin extends some 20, 30 miles up to Canada.  
24 It also extends basically the same amount of distance  
25 into Snohomish County. It covers a vast area of the

1 Skagit County.

2 Q Does it include the Western Cascades, in that region, or  
3 not?

4 A A large portion of the Western Cascades. Basically the  
5 ridge, the crest of the Cascades, would fall on this  
6 blue line here.

7 I have outlined on here a number of other things  
8 for reasons you'll see later. As I pointed out, the  
9 Concrete gauge. We'll be talking about discharges at  
10 the Concrete gauge. That's the U.S. Geological Survey  
11 gauge at Concrete. There is -- there was another gauge,  
12 U.S. Geological Survey gauge, at Sedro Wooley. I  
13 pointed to that one. That gauge is no longer in  
14 existence. In fact, it was only in existence for a  
15 short period of time. There isn't a lot of record on  
16 that gauge. There is a gauge, newer gauge at Mount  
17 Vernon. The Geological Survey saw fit to move the Sedro  
18 Wooley gauge down to Mount Vernon, and it's on the old  
19 Highway 99 bridge, and that's why I showed Mount Vernon  
20 gauge at that location.

21 Also shown on here, the town of Mount Vernon. I  
22 outlined it. The Nookachamps Creek, the Nookachamps  
23 area here. Burlington is right in this area. It gets  
24 so confusing. I got it underlined here but you can't  
25 see it because it's so small. Burlington is right in

1           this area.

2                       There are five dams on the Skagit River, the  
3 largest being Ross Dam. Down below Ross is a small dam  
4 called Diablo and another one downstream is called  
5 Gorge. Those were built in the 1930s era and they're  
6 owned by Seattle City Light. There are two dams on the  
7 Baker River, the Upper Baker Dam and the Lower Baker  
8 Dam. Those are owned by Puget Power. Upper Baker Dam  
9 is used for some flood control and Ross Reservoir is  
10 used for some flood control, and they were not designed  
11 for flood control projects but they are regulated in a  
12 manner that they do provide some flood control to the  
13 lower river.

14       Q       Okay. I want to ask you about the gauges. Maybe you  
15 can just tell the jury briefly what they measure on  
16 these gauges at Mount Vernon and Concrete?

17       A       I might as well use the microphone.

18       Q       Sure.

19       A       The Geological Survey establishes gauges on rivers.  
20 Just about all the major rivers have one or more gauge.  
21 The gauge is a method of determining how much flow, how  
22 much water is passing that point.

23                       THE COURT: Sir, can I interrupt for a minute.

24                       We're getting our feet wet. How is that  
25 sounding? Is it too loud, not loud enough? Are we

1           okay?

2                         Again, as I said in the beginning of this case,  
3           if you have difficulty hearing a witness, seeing a  
4           witness, anything like that, obviously your perspective  
5           is different from mine, would you just let me know right  
6           away so we don't continue to have problems, difficulty  
7           with hearing or -- believe me, in this courtroom at some  
8           time or another we're going to get a witness positioned  
9           with a chart or something that is going to be out of --  
10          it's not going to be comfortable for you, so let me know  
11          that and we'll stop and try to make corrections for that  
12          as we move along.

13                         I'm sorry, sir.

14         A         As I was stating, the Geological Survey establishes  
15           these gauging locations, the purpose being to measure  
16           how much water is going past that point and their  
17           continuous measurements. There is a little gauge  
18           house. Maybe some of you have seen those. They're  
19           normally quite frequently along side of a bridge at  
20           someplace, a little house about four foot square, and it  
21           sits right on the river bank, quite often painted  
22           green. There's also in the immediate vicinity the cable  
23           way that usually will extend across the river itself.

24                         The process of determining how much water is  
25           passing a gauge, the gauge is actually measuring how



1 high the water gets. There's mechanical equipment in  
2 there that's doing that. It measures it continuously  
3 and puts it onto a chart or a tape, and nowadays it's  
4 getting into -- electronically on a computer-type  
5 apparatus. Periodically the Geological Survey people  
6 will come out and they'll go across on this cable way.  
7 There will be a little cart. They'll go out on the  
8 cart. They'll have a meter and put it in the water, and  
9 that meter, by doing a procedure where they go section  
10 by section across the river, they can -- they get  
11 information on how fast the water is moving. They're  
12 able to mathematically come up with how much water is  
13 passing that point. And normally that is in cubic feet  
14 per second. In other words, how many cubic feet, one  
15 foot by one foot by one foot passes that point in every  
16 second, how many cubic feet per second is passing that  
17 location.

18 Q Okay. How large is the Skagit River in comparison to  
19 other regions, both in the state and outside the state?

20 A Skagit River is the biggest river in the Puget Sound  
21 region. In discharge, it's as -- it falls in the top  
22 ten, maybe 15 in the United States. In discharge, but  
23 not in area.

24 Q You mean drainage area?

25 A In drainage area. There are many areas much bigger in

1 drainage area but they don't have the discharge, the  
2 large discharges that Skagit does.

3 Q This case is about floods. Do you have some knowledge  
4 as a hydraulic engineer as to what causes floods?

5 A Yes. You don't have to live in the Northwest very long,  
6 you don't have to be much of an engineer to realize what  
7 causes a flood. Basically here in the Northwest a flood  
8 is caused by heavy rain falling over -- usually over a  
9 snow pack in the mountains. Snow will build up a week  
10 or two weeks, a month, and then there will be a very  
11 warm spell come balming in from the Pacific Ocean loaded  
12 with water and dumps water very heavily on the basin,  
13 and especially in the head waters of the basin it melts  
14 all the snow and it all comes down the river as flood.

15 Q Okay. Can you give the -- you've talked about CFS. Can  
16 you give the jury some idea or sense of like how many  
17 CFS the Skagit River would have, say, during a summer  
18 month when the river might be down, and then perhaps  
19 contrast it with some of the events in November of 1990.

20 A I reviewed some of the Geological Survey records. I  
21 didn't look at all of them, but it's obvious that you  
22 got five or 6,000 CFS in August-September era. It could  
23 be as high as 20,000 CFS at that time. It just depends  
24 on the Skagit, what the dams are doing, if they're  
25 releasing more water or less water, but it falls in that

1 neighborhood, five to 20,000 and that is way below the  
2 river banks. It's well within the channel.

3 Q And contrast that, if you will, for, like, the November  
4 24, 25 event, how many CFS was that?

5 A November 24 and 25, 1990 event was about 150 thousand  
6 CFS.

7 Q Do you remember what the November event was?

8 A It was around 140,000.

9 Q The November 30th, 1995, event?

10 A About 135 thousand.

11 Q And all those readings taken from the USGS data?

12 A Those all came from the U.S. Geological Survey data.

13 Q I have another -- Mr. Regan, have you -- can we get this  
14 marked as an exhibit, please.

15 Mr. Regan, are you familiar with the New York  
16 Times article of -- Science Times article on July of  
17 1993 that tended to depict some of the terminology that  
18 is used commonly in hydraulic engineering?

19 A I've seen the article, yes.

20 Q Does it have a map or at least a schematic of the  
21 various sectors of a flood plain and floodway and the  
22 like that you think might be helpful to the jury  
23 understanding some of the language in this case?

24 A It certainly does. It's a very good depiction of a  
25 flood plain and river system.

1 Q Just illustrative; is that correct?

2 A Yes. It's just illustrative. It doesn't predict any  
3 river particular, it's just a -- illustrative.

4 Q Is Exhibit 198 the document you had in mind?

5 A That's correct.

6 MR. HAGENS: Your Honor, we would like to use  
7 this for illustrative purposes only for terminology  
8 purposes. It's not intended for scale or anything at  
9 all.

10 MR. SMART: May I voir dire the witness on the  
11 document?

12 THE COURT: Yes.

13 MR. SMART: Mr. Regan, you're only using it for  
14 the purpose of identifying terminology?

15 THE WITNESS: Floodway, I mean floodway, flood  
16 plain, left bank, right bank.

17 MR. SMART: You explained that many times in  
18 your courses without the use of this document, haven't  
19 you?

20 THE WITNESS: Yeah.

21 MR. SMART: You know how to explain the  
22 terminology without the use of a document?

23 THE WITNESS: The document makes it a lot  
24 easier, that's all.

25 MR. SMART: The document doesn't have anything

1 to do with the Skagit River?

2 THE WITNESS: No. It's a document that came out  
3 of the New York Times, Science Times.

4 MR. SMART: I object. It doesn't have anything  
5 to do with this flood, this river system. The  
6 terminology, you don't need a document to explain the  
7 definition of terminology.

8 THE COURT: Counsel -- Mr. Anderson?

9 MR. ANDERSON: No objection.

10 THE COURT: Objection's overruled. You may  
11 proceed.

12 (Whereupon, Plaintiff's  
13 Exhibit No. 198 was admitted  
14 into evidence)

15 Q Mr. Regan, would you come up here to Exhibit 198, which  
16 we'd offer for illustrative purposes only, and explain  
17 to the jury some of the terms and expressions that are  
18 commonly used in describing the floodway and the flood  
19 plain, whatnot, and I'd ask you to do that using Exhibit  
20 198 for illustrative purposes.

21 A We're going to look at the upper portion of the  
22 picture. What it shows here is a river coming out of  
23 the mountains, so there's a lot of rain up in the  
24 mountains here flowing down through the valley. As all  
25 the rivers do, they're narrower at the headwaters and

1 get wider and wider and get down to the flood plain.

2 What we've done in the picture is cut it right  
3 off so it's a cross section of the end. Dotted little  
4 speckled area down here, basically the ground. The line  
5 at the top of the speckled area is the ground surface.  
6 On there is showing the channel and showing a wiggle  
7 line on there being the water surface at the channel,  
8 and that's basically channel fall. Also showing on here  
9 a thing called the floodway, which I'll get to in a  
10 moment, and the floodway fringe on each side, but the  
11 entire width across the valley is actually called the  
12 flood plain.

13 Now, within the flood plain you can see you have  
14 flood fringe on both sides. This normally occurs.  
15 Basically this is storage. Water goes into it and it  
16 stores and then comes out as the flood drops down. The  
17 water isn't -- in the storage isn't moving downstream,  
18 it's just sitting there waiting 'til the water moves on  
19 and then it moves out of the storage and goes  
20 downstream.

21 In the floodway area, this water in this area,  
22 the floodway is actually moving downstream. If you  
23 stood on the -- at a point where you can watch, you'd  
24 see some current through there. It's not very fast, but  
25 there's water actually moving downstream in the floodway

1 area and, of course, in the channel, and the channel is  
2 considered part of the floodway.

3 Q Okay. Can you tell the jury on this exhibit where the  
4 flood plain might be as distinct from some of these  
5 other terms.

6 A I did say that, Carl, but the flood plain is the entire  
7 -- everything that is flooded, the flood fringe and the  
8 floodway and the left flood fringe, right flood fringe  
9 and the floodway. That's the whole thing is the flood  
10 plain.

11 Q Okay. And then the bottom just shows the typical levee  
12 arrangement?

13 A Right. What this is showing is -- from here, it  
14 squeezed down in between levees, and the article had a  
15 discussion on levees. I thought this was a good picture  
16 up here and kind of depicted everything that you need to  
17 know about the terminology on the river.

18 Q Okay. Now, are you familiar with where the flood plain  
19 is with respect to the Skagit River and the delta area?

20 A The flood plain you say?

21 Q Yes, sir.

22 A Yes. Definitely the flood plain is the entire area of  
23 the Skagit from high ground to -- on the north to  
24 basically high ground on the south.

25 Q Okay. And would it include the cities of Mount Vernon

1 and Burlington?

2 A Cities of Mount Vernon, Burlington, and all areas in  
3 between.

4 Q Now, the floodway that you've mentioned, are there  
5 different types of floodways?

6 A Their definitely is. There's two types. One is  
7 basically what you see on the exhibit there. A floodway  
8 being, as I described the area, wherein the flood water  
9 is flowing downstream. There's another floodway that is  
10 called a regulatory floodway, and this gets into the  
11 flood insurance program.

12 The flood insurance program saw fit to, in their  
13 program, to come up with what they call a regulatory  
14 floodway. What that did, and I'll show it on this  
15 exhibit, there's a procedure to determine the water  
16 surface profile in a river, a mathematical procedure.  
17 Knowing that -- you can do that on a computer system.  
18 You don't have to do it by computer, you can do it by  
19 hand but nowadays it's much easier on a computer. You  
20 can compute the water surface elevation up the river.

21 Now, regulatory floodway, FEMA defines it as how  
22 much of this natural floodway can you pull in towards  
23 the channel but you do not raise the water surface on  
24 the -- above the natural water surface more than a foot,  
25 and that's what they call the regulatory floodway.



1 Q And that's to contrast with the natural floodway, which  
2 is what?

3 A The natural floodway doesn't raise the water surface  
4 anything because that's the way -- it's just the way it  
5 is, but the regulatory floodway eliminated all here --  
6 eliminates flow out in these areas are so the water has  
7 to do something. As you eliminate flow out here, the  
8 water surface will go up some and you bring those sides  
9 in of the regulatory floodway so you don't exceed one  
10 foot over the natural water surface.

11 Q You mentioned you worked for FEMA and developing flood  
12 maps. What are those maps used for and how are they  
13 used?

14 A It's my understanding there's two uses for them. One is  
15 to -- to provide insurance people with a method of  
16 determining flood rates, actuarial tables and so forth.  
17 Another method -- another use of them is for the local  
18 government agencies to use in their planning and  
19 building permits and that type of activity.

20 Q Do you know if the FEMA flood maps ignore or -- entirely  
21 levees unless they provide the hundred year protection?

22 A That's true. When FEMA's requirement is the levees, to  
23 be considered in the flood insurance program, levees  
24 have to provide a hundred year flood protection plus  
25 three feet of free board. In other words, they got to

1           be three feet higher than the computed hundred year  
2           water surface elevation.  If they don't, then the maps  
3           are produced as if the levees weren't there.

4           Q     What about the levees in Skagit County, are they at the  
5           hundred year plus three feet of free board levees?

6           A     No.

7                     MR. SMART:  I'll object to the form of the  
8           question, Your Honor, unless Mr. Hagens identifies which  
9           levees he's talking about.

10          Q     Any of the levees, any of the levees in Skagit County,  
11          are you familiar with any of them being at the hundred  
12          years plus three feet of free board?

13          A     During the time I did the work in 1979 design memo we  
14          surveyed the entire length of every levee on the Skagit  
15          Valley.  None of them were above the hundred year flood.

16          Q     When are rivers deemed to be at flood stage, Mr. Regan?

17          A     Basically rivers at flood stage any time the water in  
18          the surface of the channel exceeds the top of the  
19          channel bank.

20          Q     Is there a particular number at which the Skagit River  
21          is deemed to be at flood stage?

22          A     It varies at various locations along the river but,  
23          generally speaking, it's in the neighborhood of 75 to  
24          80,000 CFS.

25          Q     Now, was your firm retained by us in early 1982?

1 A 1990.

2 Q Excuse me, early 1992; is that correct?

3 A Yes.

4 Q What were you asked to do by our firm?

5 A Okay. Our initial request from Hagens & Berman was to  
6 go out and look at the levees, look at the system, look  
7 at the Skagit County, look at the Nookachamps area and  
8 the Sterling area, Burlington area, make a general  
9 appraisal of the area, and then to do some preliminary  
10 type computations to determine if we felt that the  
11 levees were causing any problem in the Nookachamps area.

12 Q What --

13 THE CLERK: Exhibit 199.

14 Q I'm going to show you, Mr. Regan, Exhibit 199. Can you  
15 just tell us what it is without describing it?

16 A Okay. That's a figure out of the General Design Memo  
17 that shows boundaries of the hundred year flood, shows  
18 all the diking districts and it shows all the levees.

19 Q Was this part of your work back in 1978 and '79?

20 A That's correct.

21 MR. HAGENS: Your Honor, we'd offer Exhibit 199.

22 MR. SMART: No objection, Your Honor.

23 MR. ANDERSON: No objection, Your Honor.

24 THE COURT: All right, thank you.

25 THE COURT: 199 will enter.

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(Whereupon, Plaintiff's  
Exhibit No. 199 was admitted  
into evidence.)

Q Mr. Regan, I wonder if you can come over here and describe to the jury -- this is getting a little confusing here -- actually if I take these down. If you could describe for the jury what Exhibit 199 depicts.

THE COURT: Actually, let me ask, jurors number 6 and 12, you're kind of in the heart of it, would it help you to have the board turned a little bit more for you.

JUROR NUMBER SIX: How about a longer stick, with something longer than a pencil.

MR. SMART: My suggestion would be to move it back a little more.

MR. HAGENS: This is my first effort at this, Your Honor, so bear with me a little bit.

Q Okay. Mr. Regan, maybe you can describe to the jury what this exhibit is all about. Maybe this is -- maybe you could, using this as well as your chart over here, you could describe for the jury what this exhibit is.

A Okay. This is a map of the Skagit delta area, basically from Sedro Wooley downstream to Skagit Bay/Padilla Bay. It shows on here all of the diking districts by number, 17, 12, 20, 21, so forth. It also shows in the dotted line right here basically the

1 outline of the hundred year flood plain. It also shows  
2 on here the heavy black line is the existing levees.

3 They start basically upstream of Burlington,  
4 follow down on the northwest side of the river, the  
5 whole way through the bay, and basically have -- the  
6 upstream edge of Mount Vernon --

7 Q Is that where the Burlington Northern Bridge is?

8 A That's right. The Burlington Northern Bridge is on an  
9 alignment like that. The levees start at the Burlington  
10 Northern Bridge on the southeast side and follow the  
11 entire way down to the bay. There's also a levee that  
12 encircles the entire Fir Island, which is composed of a  
13 number of dike districts.

14 Q Does --

15 A There's also some other levees that go along the bay to  
16 keep tidal flooding out, these heavy lines, and there's  
17 also another levee that runs along the edge here, and  
18 that's to contain a stream that comes down in here, and  
19 it carries that stream down and dumps it into the river  
20 at a location about here.

21 Q Were these the levees that you went out and did the  
22 field inspection on early on in your assignment?

23 A That's correct. All those levees were surveyed in the  
24 mid 1975, '78, in that era.

25 I have personally walked almost every one of the

1 levees that are adjacent to the river. I have not been  
2 out on a lot of these tidal levees, but the ones that  
3 were adjacent to the river that were pertinent to our  
4 study walked just about everyone of them.

5 Q Our assignment was to determine what, if any, flooding  
6 may have been caused by these levees. Were any  
7 restrictions put on that assignment, that is things you  
8 were not to study?

9 A No.

10 Q Did anybody tell you how to do your work?

11 A No. We had a free hand to do what we felt was necessary.

12 Q And what was your hourly rate for this work?

13 A It's varied since we started, but it's -- my rate --  
14 that we charge to Hagens & Berman is something like \$125  
15 an hour.

16 Q What was your particular role in the assignment to  
17 determine whether or not the levees were causing any  
18 flooding in the Nookachamps/Clear Lake area?

19 A I had two roles. As I stated before, I was involved in  
20 doing a preliminary look at it to see if there really  
21 was a problem and what the magnitude of that problem  
22 was. Another part of my role was to oversee the  
23 computer modeling that our firm had done and act as a  
24 consultant to that work, and also I reviewed and looked  
25 at a lot of reports and data that pertained to the

1 levees.

2 Q What was the purpose of the reports and data that you  
3 reviewed that pertained to the levees?

4 A We felt that we should know what the levees really are  
5 accomplishing out there, how big they are, how strong  
6 they are, how they fit into the system, just information  
7 that you need to have to proceed into a detailed study.

8 Q And was this a normal assignment for your firm?

9 A Somewhat normal. We're doing another study similar to  
10 this, flooding study, on the Kalama River down in  
11 Woodland.

12 Q Did you do any preliminary estimates to determine  
13 whether or not a further study of the effects of these  
14 levees was justified?

15 A Yes.

16 Q Would you tell the jury what you did in that regard.

17 A Basically they were some preliminary computations as  
18 opposed to a detailed computer model, where I looked at  
19 what would happen in the Nookachamps area if the levees  
20 weren't in position against what was going on and how --  
21 and the flooding that occurs with the levees in places  
22 they are today.

23 Q And what did your preliminary calculation tell?

24 A There could be around three foot of difference.

25 Q Let's talk about your historic review. Where did you

1           observe these reports that you indicated that you --

2       A     A lot of these reports that I looked at go back, in

3           fact, to the 1920's.  I've known about them and had seen

4           them while I was working at the Corps of Engineers doing

5           the general design level work.  Some other reports were

6           brought out in the discovery portion of this case.

7       Q     And can you give the jury -- were any of those reports

8           relating just to the Nookachamps area, the Nookachamps/  
9           Clear Lake area, Exhibit 199?

10      A     There was no report per se on the Nookachamps area, but

11           I looked at -- let me refer to my reports here -- and I

12           looked at 12 different documents that date from 1922 to

13           1993, and basically they all say the same thing.  They

14           say that the Nookachamps area acts as a large storage

15           area that reduces flood heights in the surrounding and

16           lower districts.  If you can sum it up in just a few

17           words, that's basically what they say.

18      Q     Did that comport with or was that contrary to your

19           preliminary finding?

20      A     Repeat that.

21      Q     Was that consistent with or inconsistent with your

22           preliminary findings or your computations?

23      A     Very consistent with my findings and my understanding of

24           the system.

25      Q     Okay.  I want to go through a couple of these exhibits.



1 Let's start with Exhibit No. 1, Plaintiff's Exhibit No.  
2 1. I'm going to hand you Exhibit No. 1 and ask you to  
3 identify it.

4 A This is a report done by an engineer, hydraulic engineer  
5 James E. Stewart who worked for the U.S. Geological  
6 Survey. It's dated I believe 19 -- my notes say it's  
7 dated 1923. I don't see it right on the cover. It's a  
8 report that was done in accordance with an agreement  
9 between Skagit County and the United States Geological  
10 Survey.

11 Q Is this part of the historical record that you reviewed  
12 in connection with this case?

13 A I reviewed this document a number of times, not only  
14 this time but in the past, yes.

15 MR. HAGENS: Your Honor, we'd offer Exhibit No.  
16 1 at this time.

17 MR. SMART: No objection, Your Honor.

18 THE COURT: Counsel?

19 MR. ANDERSON: No objection, Your Honor.

20 THE COURT: Number 1 will enter.

21 (Whereupon, Plaintiff's  
22 Exhibit No. 1 was admitted  
23 into evidence)

24 Q I'd ask you to turn to page 17 of that report if you  
25 would, and the bottom paragraph, paragraph No. 2 of page

1           17.

2       A     I have it, yes.

3       Q     Have you got it?

4       A     Uh-huh.

5       Q     Did you review this paragraph as part of your work?

6       A     Yes. Along -- I read the whole report.

7       Q     Okay. Maybe you could just read the bottom portion of

8           paragraph -- the one that says under paragraph 2 on page

9           17, if you'd read that into the record.

10      A     Paragraph two on page 17 is titled Delay Diking Off the

11           Nookachamps District. That's the heading of the

12           paragraph. Goes on to state, "The Nookachamps District

13           in its present condition acts as a storage reservoir and

14           thus reduces the flood height in the surrounding and

15           lower districts. This storage reservoir has been of

16           material benefit in the past and has undoubtedly

17           decreased the number of breaks for the larger floods and

18           prevents breaks for the lower floods."

19      Q     Okay. I wonder if you'd read the next sentence, too,

20           going onto the next page.

21      A     "According to a Mr. Robert Hersome, Assistant Engineer

22           with the Great Northern Railroad, the reservoir capacity

23           of the Nookachamps District and other adjacent lands is

24           a flow of 150,000," he calls it "second feet", but

25           that's an old term for cubic feet per second, "for five

1 hours."

2 Q Now, you know this is a historic document. Where did  
3 you have the opportunity to review it?

4 A I reviewed it when we were doing the general design  
5 memo. It was brought out, and I believe through a --  
6 literature search or was in our library at the Corps of  
7 Engineers, but I don't know exactly where it came from.

8 Q Is this exhibit generally available to the public or not?

9 A Not unless the public does a lot of searching. I mean  
10 you just don't walk in the library and say, hey, let me  
11 have this book. It's not that kind of a document.

12 Q All right.

13 MR HAGEN: I wonder if now would be a perhaps a  
14 good time.

15 THE COURT: Now is as good a time to break.

16 THE COURT: Sir, you may step down.

17 All right, ladies and gentlemen. We will be  
18 recessing for the evening. We have a sentencing set for  
19 the morning at -- unless -- it's still on our calendar  
20 so we will be reconvening this matter at 9:30. If  
21 you'll be in the jury room at 9:25 and ready to proceed  
22 on this case.

23 And, again, I have to admonish you, and I know  
24 we'll all get tired of you going through this drill but  
25 it's something that I really am required to do, and

1 especially the early stages. You're advised not to  
2 discuss this case with anyone upon your retiring this  
3 evening and going home. Please do not indicate or  
4 attempt to, I should say, locate any information about  
5 this case. If you should hear any sort of media reports  
6 of any kind about this case, you are to ignore those and  
7 not be present around anyone else who might be listening  
8 to such reports and who might be discussing the case.

9 Please come in and go directly to the jury room  
10 to -- leave directly from the jury room, come directly  
11 into the jury room as much as possible to avoid  
12 conversations that might be taking place in the hall.  
13 There are any number of plaintiffs obviously in this  
14 case, 60 some, and any number of other parties and  
15 people associated with this case, many of whom might  
16 fail to recognize you as a juror and you might fail to  
17 recognize them as a party and thereby inadvertently  
18 overhear some sort of discussion about the case. It's  
19 central to a concept of a fair trial that the only  
20 evidence you make your decision on ultimately comes from  
21 the witness chair and through the exhibits that are  
22 admitted into evidence in this case. And that you keep  
23 an open mind in this case until you've heard all the  
24 evidence in this case. And then and only then begin to  
25 make your deliberation until that portion of the case

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has been reached, which will be sometime down the road  
from now.

With all those considerations in mind, I hope you  
have a pleasant evening and we'll see you in the morning  
tomorrow morning. Again 9:25 in the jury room, please.

Thank you.

(The matter was concluded.)